

# Final results of the CUPID-0 Phase I experiment

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for the CUPID-0 collaboration

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# CUPID-0 for CUPID (Cuore Upgrade with Particle ID)

CUPID is a proposed bolometric  $0\nu DBD$  experiment which aims at a sensitivity to the  $m_{\beta\beta}$  on the order of 10 meV



Technical challenges:

- **Detector mass in the range of several hundred kg of the isotope**
- **Background close to zero at the ton · year exposure scale**
- **Region Of Interest (RoI) of a few keV** around  $0\nu DBD$  transition energy

Five steps to satisfy these technical challenges :

- **Isotopic enrichment** →
  - **Active alpha rejection** →
  - **Improved material selection**
  - **Reduced cosmo-activation**
  - **Better energy resolution**
- CUPID-0** is the first demonstrator, of a series, of the new technologies that will be implemented in CUPID and, at the same time, it is also a competitive  $0\nu DBD$  search in its own right.

# Scintillating bolometers

A bolometer is a highly sensitive calorimeter operated at cryogenic temperature ( $\sim 10$  mK)

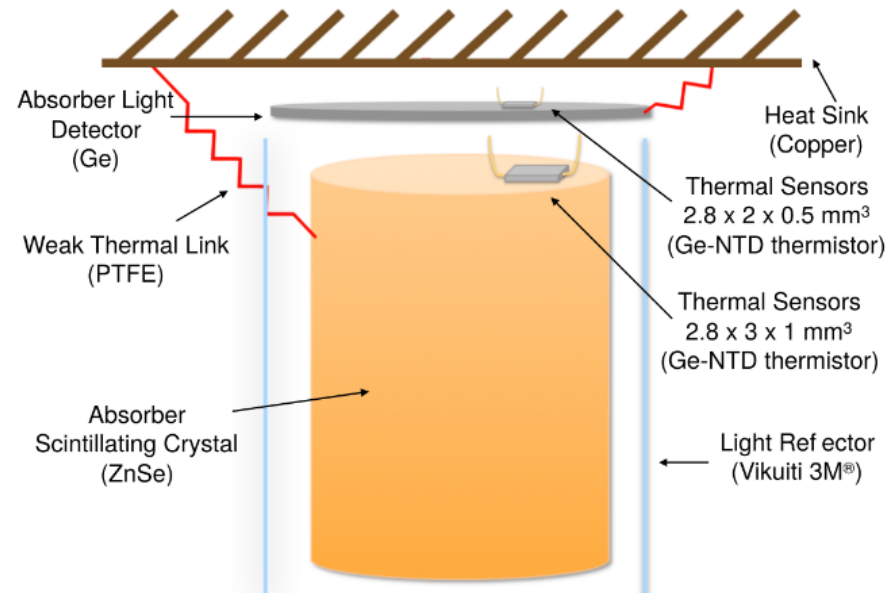
Energy deposits are measured as temperature variations of the absorber

If the absorber is also an efficient scintillator the energy is converted into heat + light



Scintillating bolometer features:

- high energy resolution  $O(1/1000)$
- high detection efficiency (source = detector)
- **particle IDentification**



A **close-to-zero background** experiment is feasible:

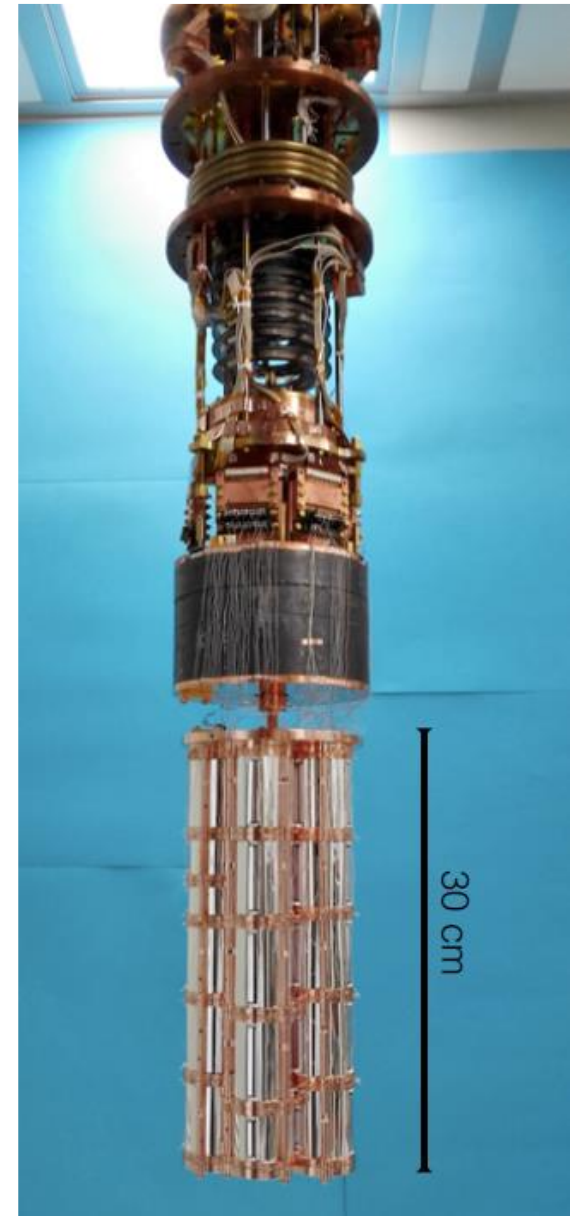
$\alpha$  background: identification and rejection

$\gamma/\beta$  background:  $\beta\beta$  isotope with large Q-value

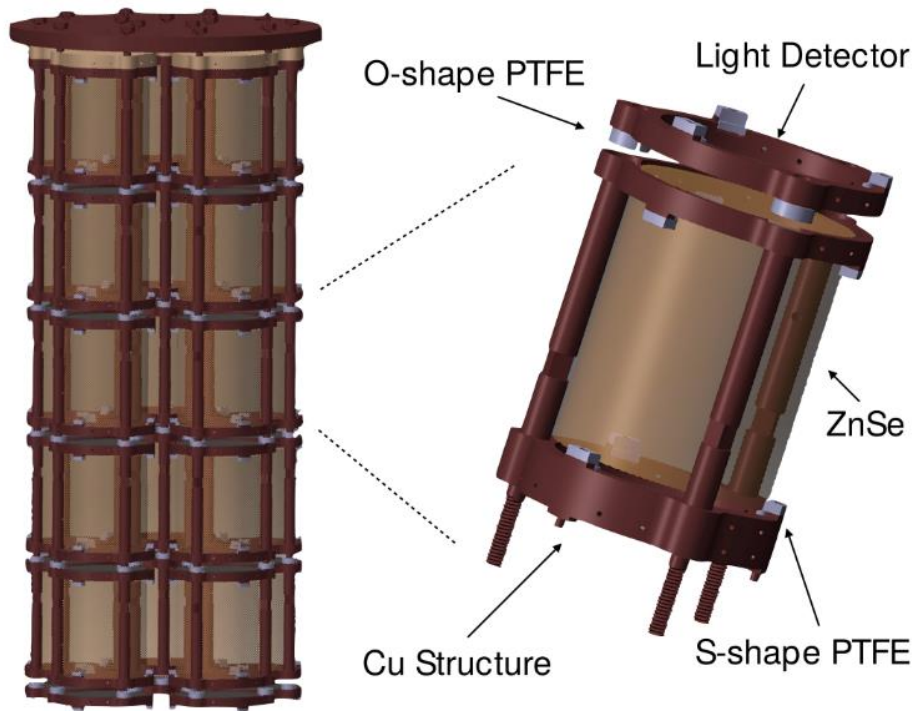
# CUPID-0 - The detector

CUPID-0 is an array of scintillating bolometers for the investigation of  $^{82}\text{Se}$  0 $\nu$ DBD

- Q-value  $2997.9 \pm 0.3$  keV
- 95% enriched  $\text{Zn}^{82}\text{Se}$  bolometers
- Installed in the underground laboratories at Gran Sasso
  - Hosted in the 'old' Cuoricino/CUORE-0 cryostat
- 10.5 kg of  $\text{ZnSe}$ , 5.17 kg of  $^{82}\text{Se}$  ( $3.8 \cdot 10^{25}$   $\beta\beta$  nuclei)
- Background goal  $\sim 10^{-3}$  c/keV/kg/y also thanks to discrimination capabilities (light yield and pulse shape)



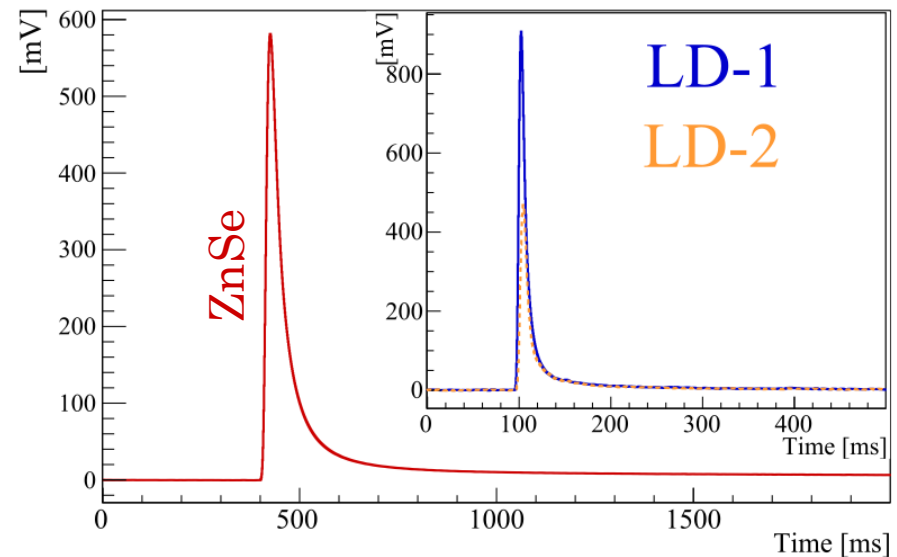
# CUPID-0 - The detector



26 ZnSe (24 enriched + 2 nat)  
+  
31 Ge Light Detectors (LD)  
arranged in 5 towers

Simplest modular detector:

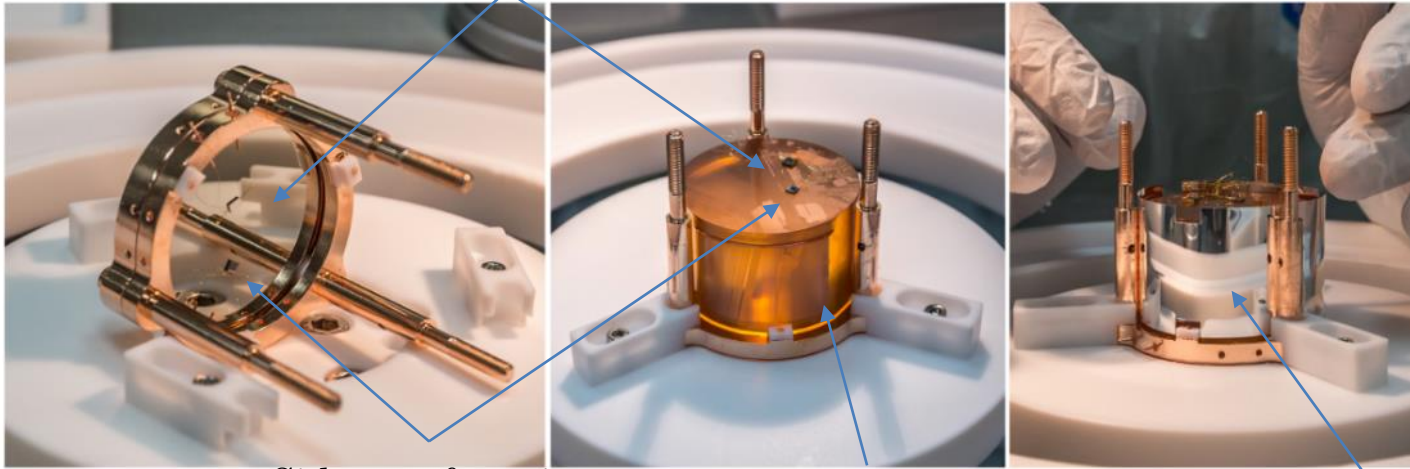
- Copper structure
- PTFE holders
- Reflecting foil (VIKUITI 3M)



# CUPID-0 - The assembly

- All activities for the construction were carried out in an underground Rn-suppressed clean room
- Assembly started on October, 2016
- Complex assembly: crystals have all different shapes and heights

Ge-NTD thermal sensor

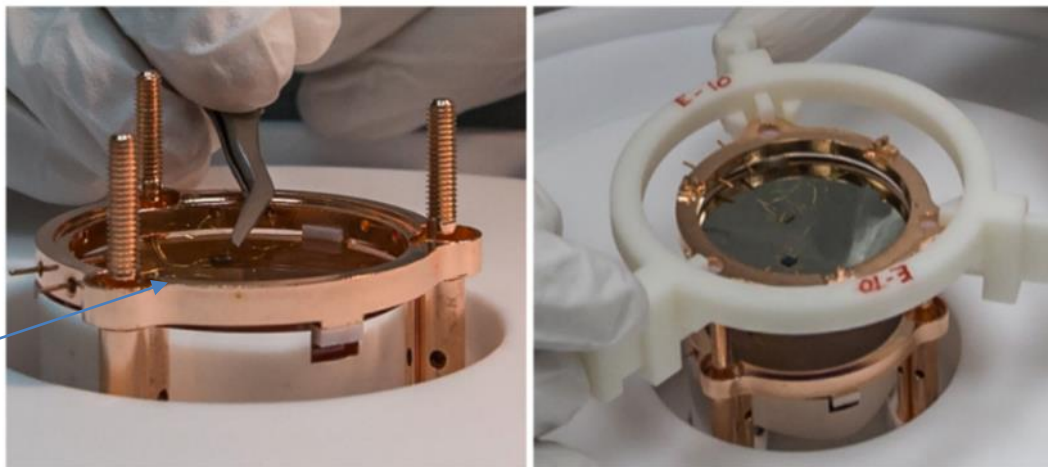


Si-heater for gain drift corrections

Zn<sup>82</sup>Se crystal

Reflecting foil

gold wires for the sensor read-out



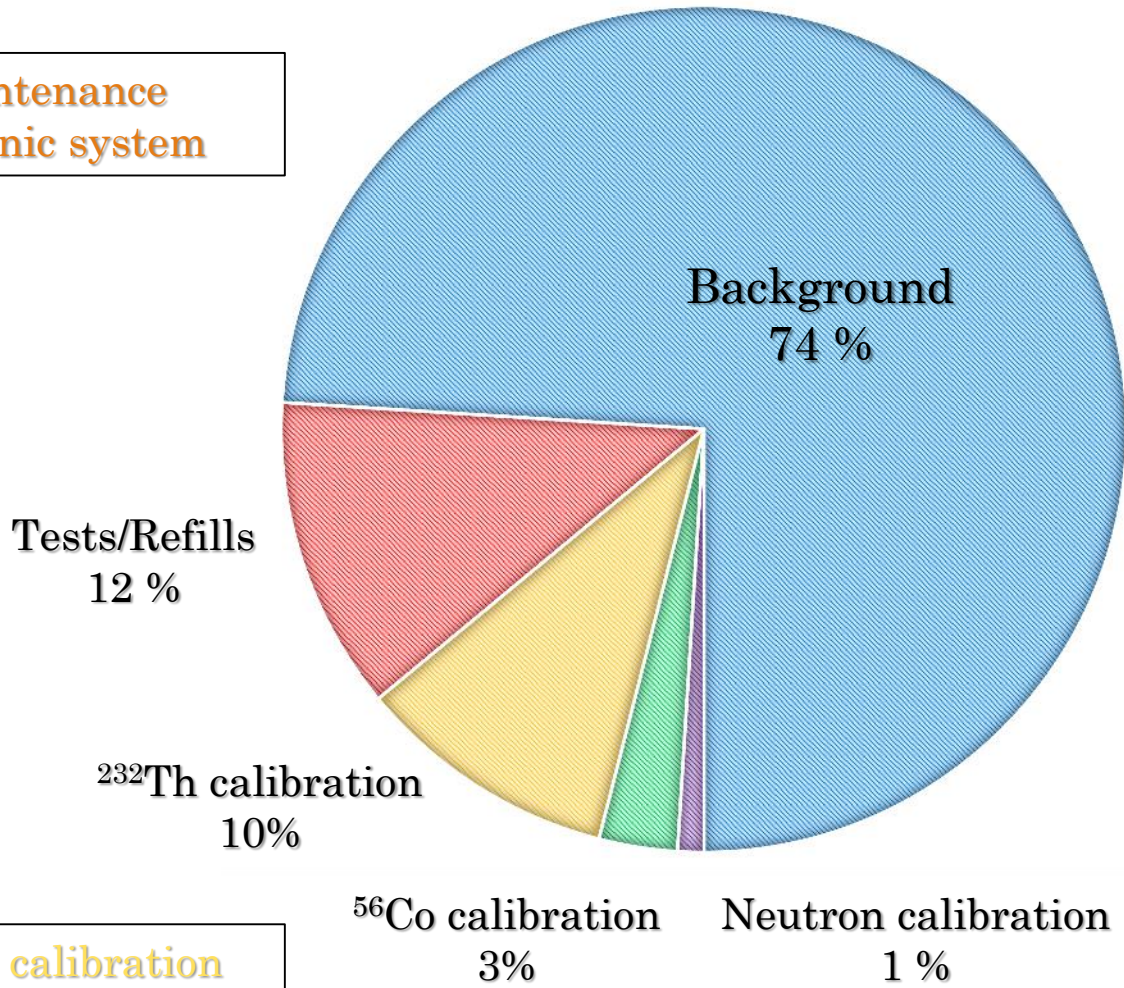


# Data Taking - CUPID-0 Phase I

- Data taking started on March 17<sup>th</sup>, 2017
- Data presented here were collected between June 2017 and December 2018

Maintenance  
cryogenic system

0νDBD  
Physics



ZnSe exposure  
9.95 kg·y

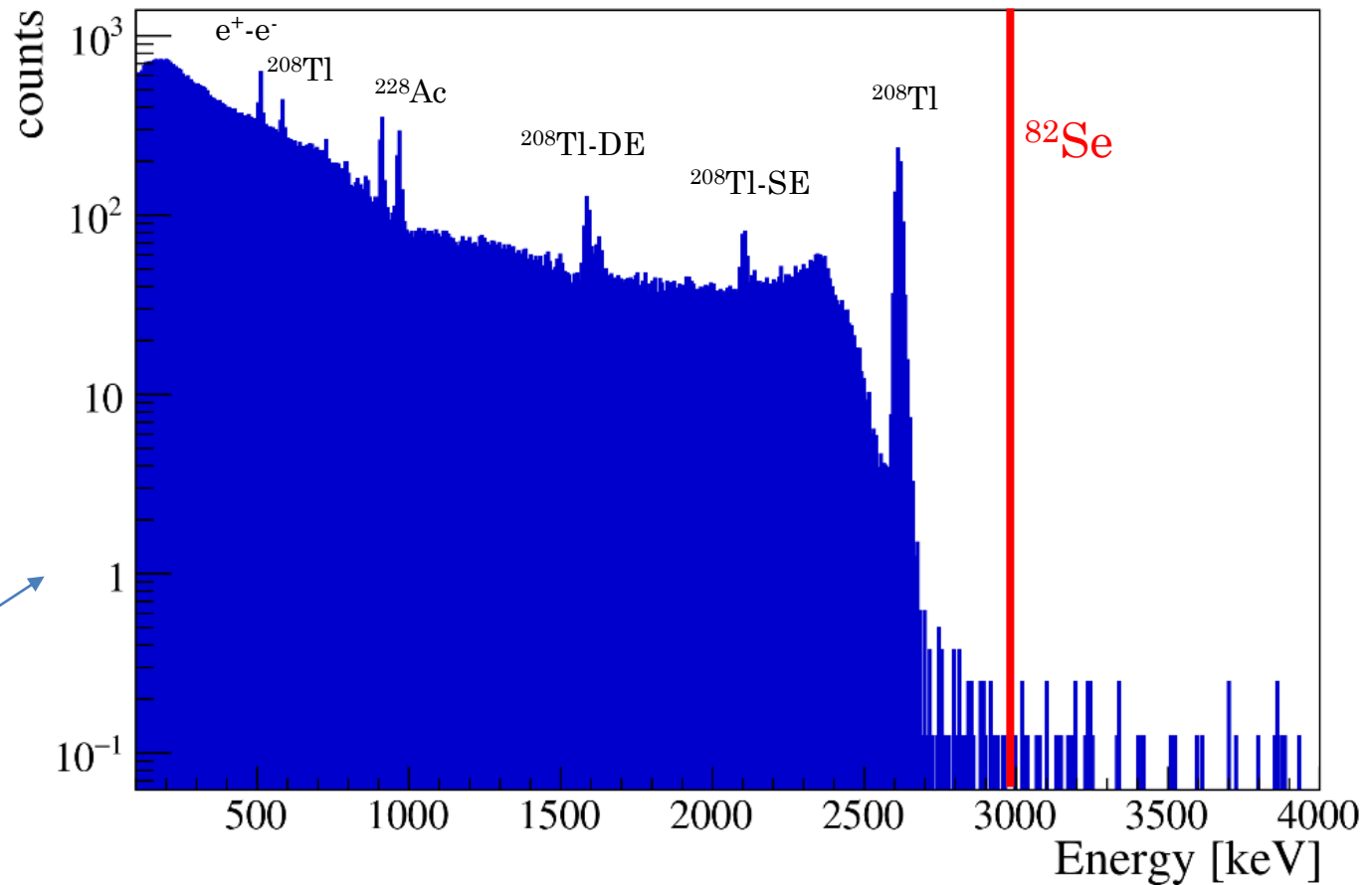
<sup>82</sup>Se exposure  
5.29 kg·yr

Energy calibration  
Detector performance

Characterization of  
 $\beta/\gamma$  shape parameters  
at RoI

# Detector performance - $^{232}\text{Th}$ calibrations

The detector performance were investigated using  $^{232}\text{Th}$  sources placed outside the cryostat



Energy spectrum  
obtained summing all  
the ZnSe channels in a  
calibration run

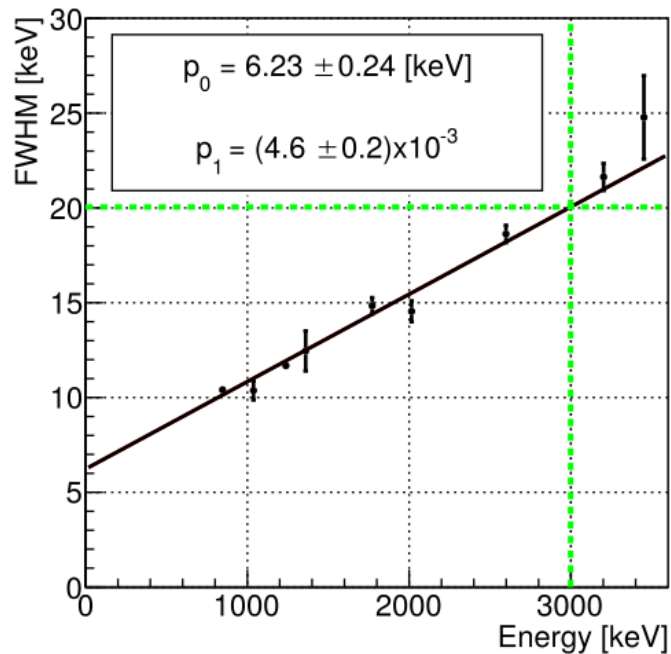
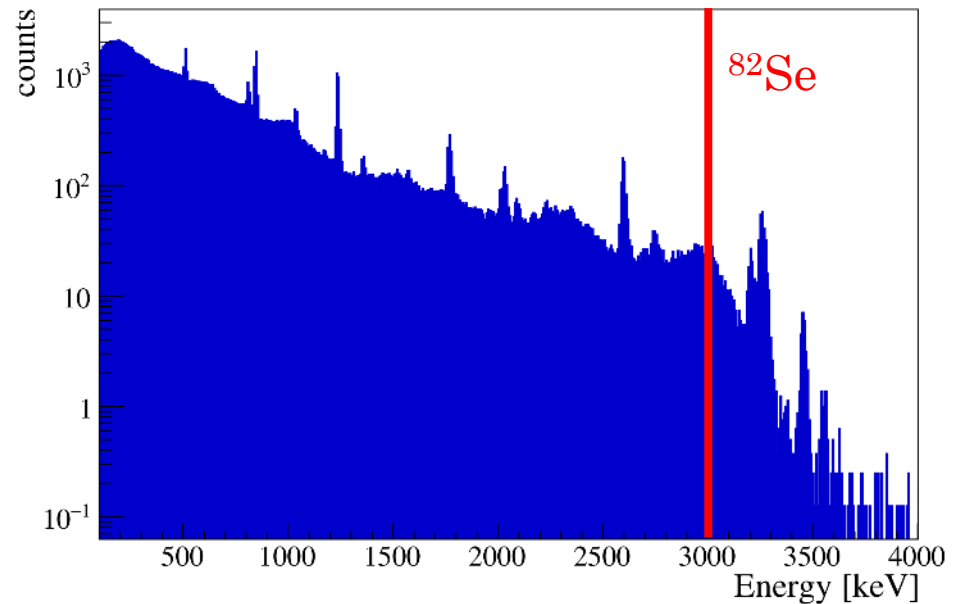
- Major contribution to the energy resolution is the crystal quality
  - average baseline FWHM 3.5 keV



# Detector performance - $^{56}\text{Co}$ calibration

We performed a calibration run also with a  $^{56}\text{Co}$  source to:

- check the goodness of the energy reconstruction
- evaluate the FWHM energy resolution at the  $^{82}\text{Se}$  Q-value

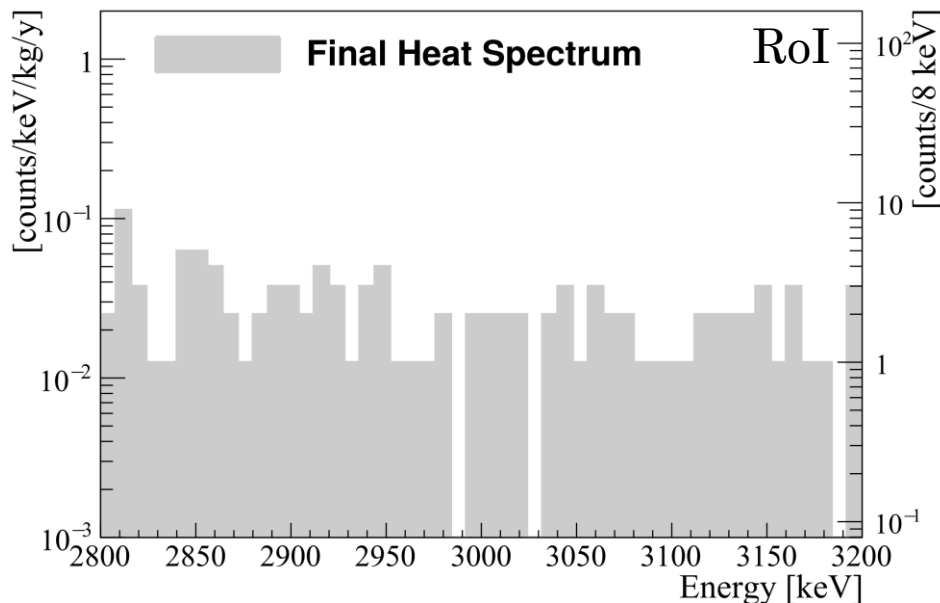
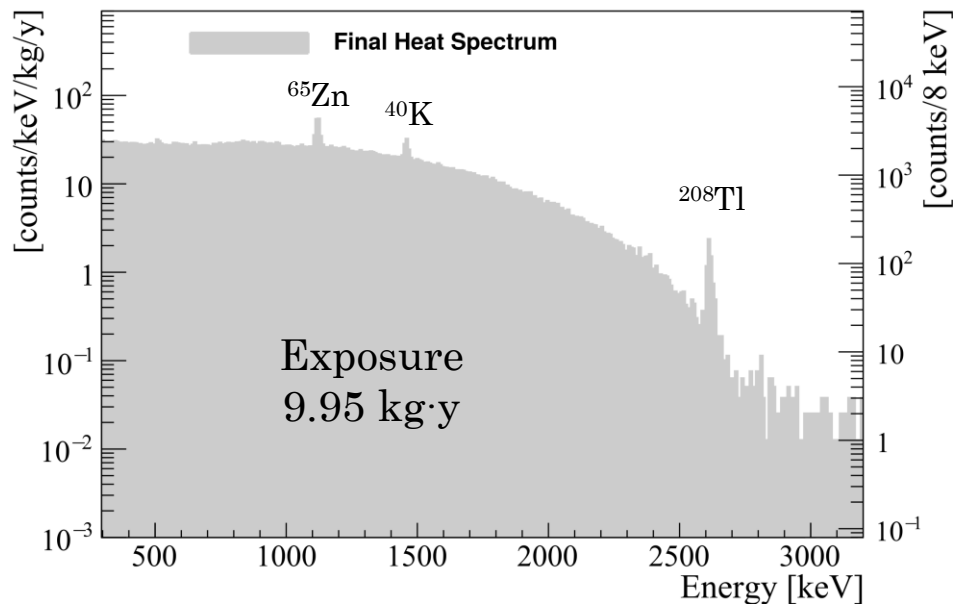


→ value corresponding to the  $^{82}\text{Se}$  Q-value

The exposure-weighted harmonic mean FWHM energy resolution at the  $Q_{\beta\beta}$  results to be (after de-correlation of heat and light signals)

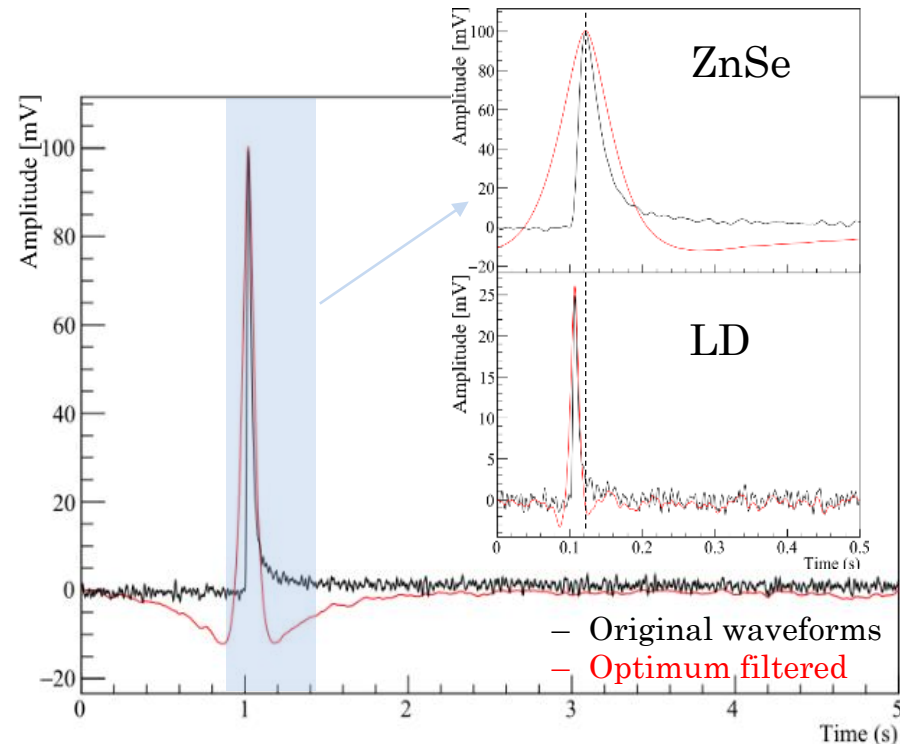
$$(20.05 \pm 0.34) \text{ keV}$$

# Background - Total energy spectrum



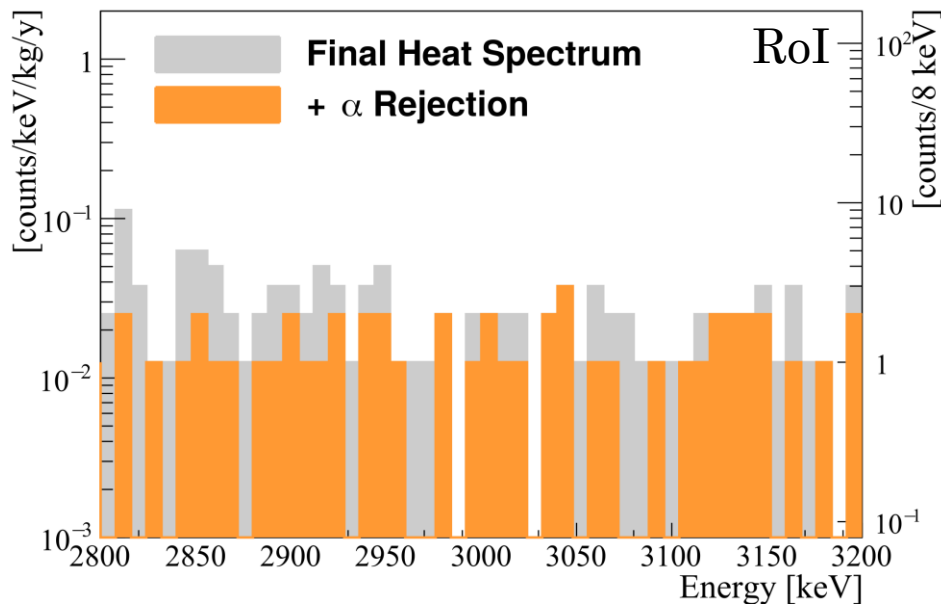
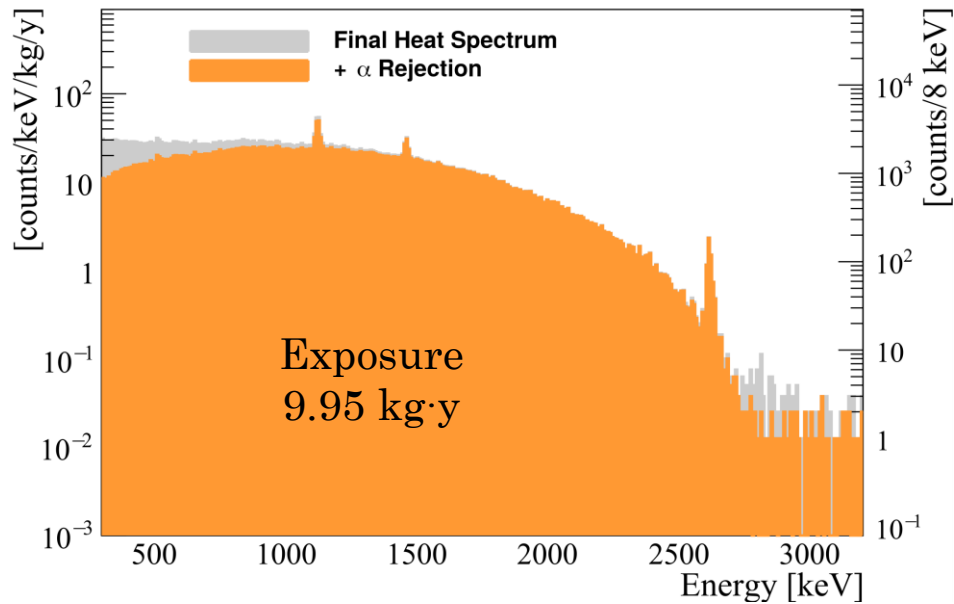
## First level data analysis:

- Optimum filtering
- Gain stability corrections
- Synchronization Heat-Light

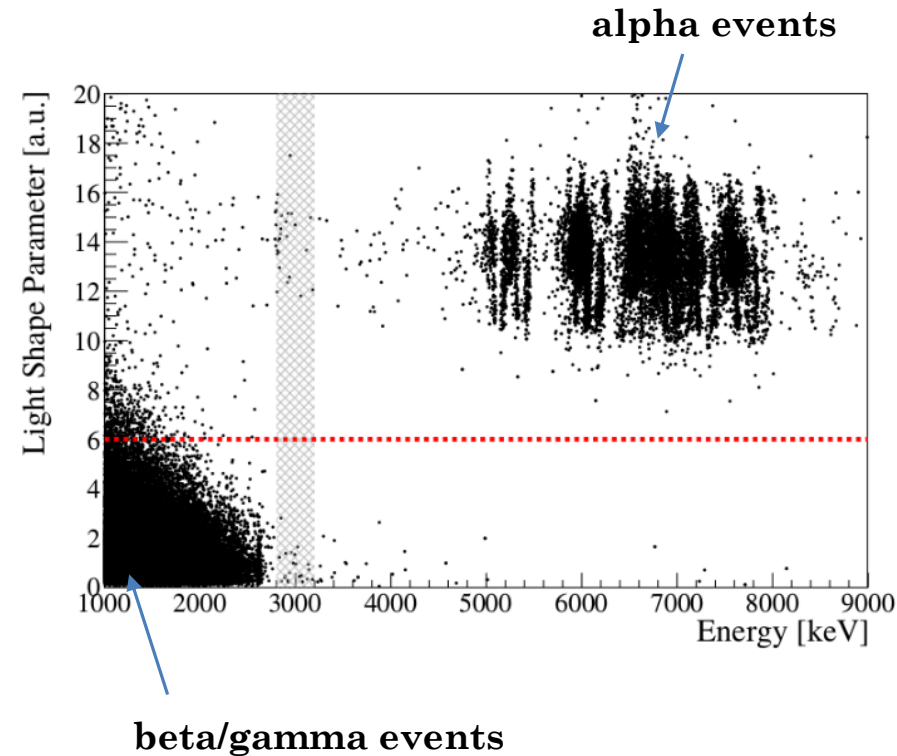


- Rejection of “non-particle-like” events through pulse shape on thermal pulses
- Anti-coincidence between crystals ( $\Delta T = 20ms$ )

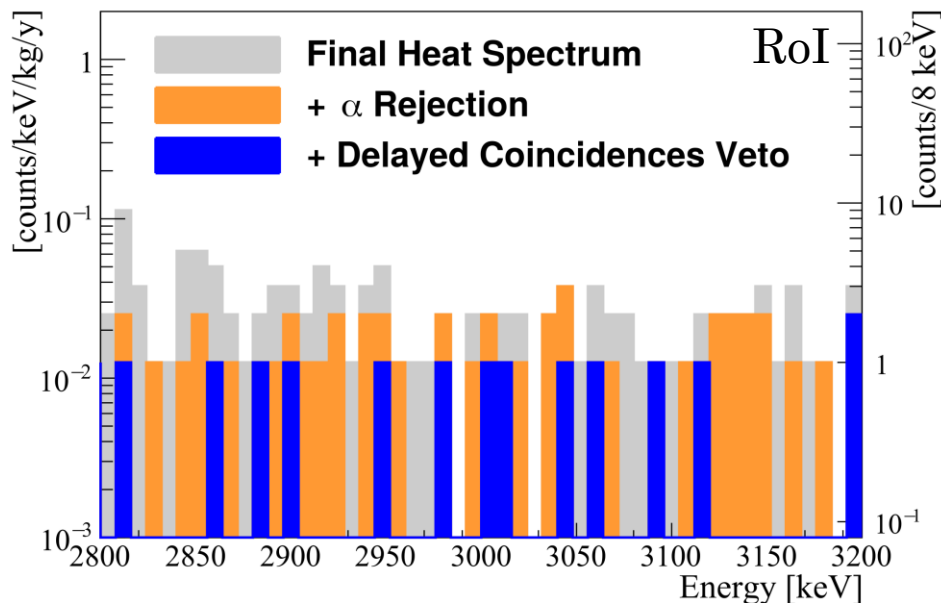
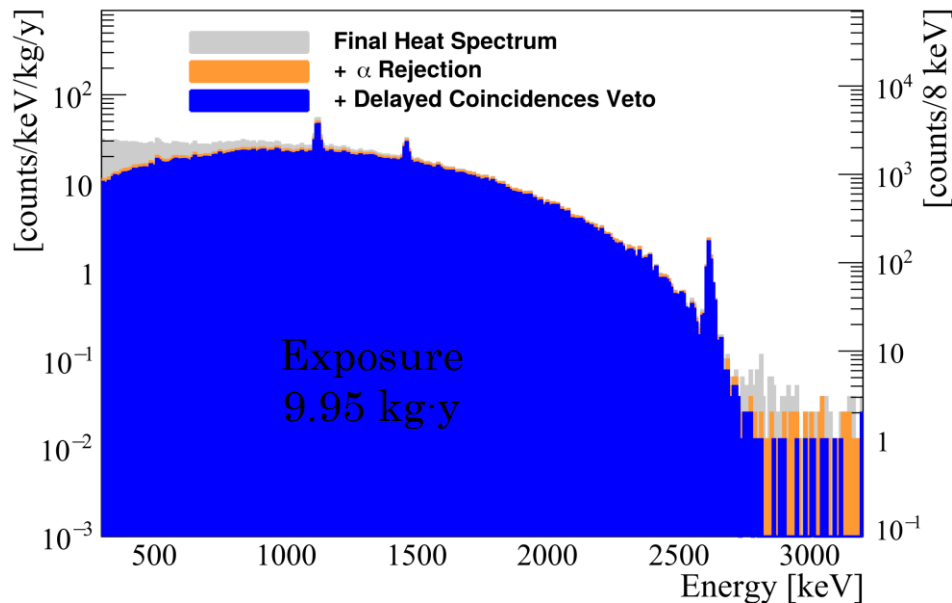
# Background – Data selection



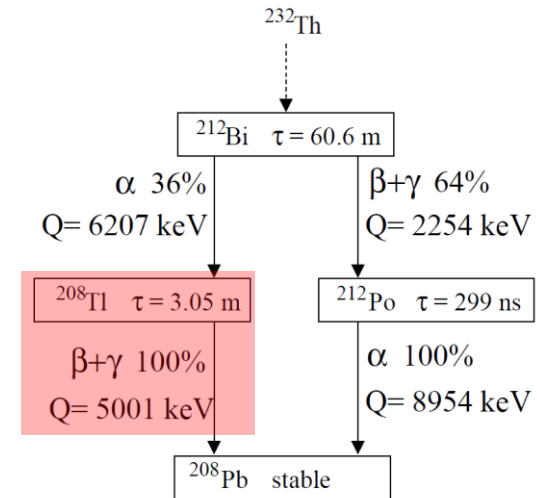
Alpha rejection with  
light-shape variable



# Background – Data selection



## Delayed alpha coincidence $^{212}\text{Bi}$ - $^{208}\text{Tl}$ rejection



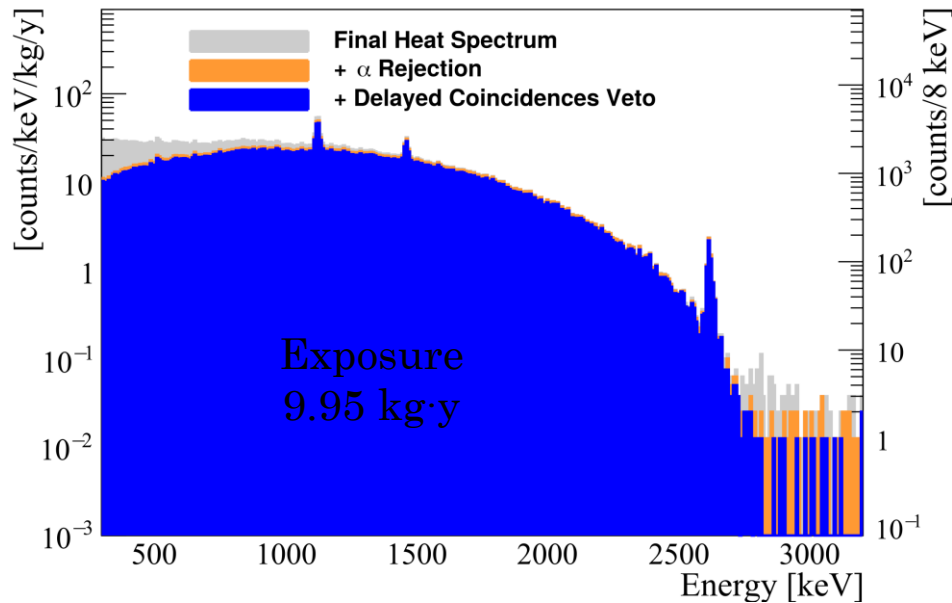
We veto any event succeeding a primary  $^{212}\text{Bi}$   $\alpha$  event in a window corresponding to 7 times the half-life.

If the contamination is close to the surface and the  $\alpha$  escapes the crystal, only part of the energy of the decay is collected

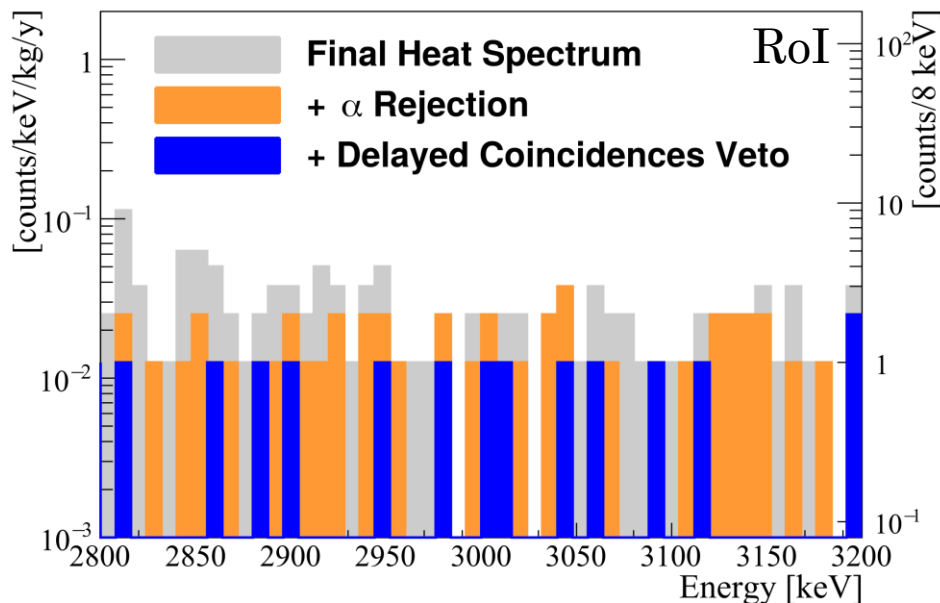
↓

$\alpha$  pulse shape of the primary event and energy in the range (2.0-6.5) MeV

# CUPID-0 Phase I: Results



probability 0 $\nu$ DBD event confined inside a single crystal	81.0 $\pm$ 0.2 %
trigger efficiency + energy properly reconstructed	99.5 %
heat pulses selection efficiency + delayed coincidences	88 %
beta/gamma selection efficiency	98 %
<b>Total signal efficiency</b>	<b>70<math>\pm</math>1 %</b>



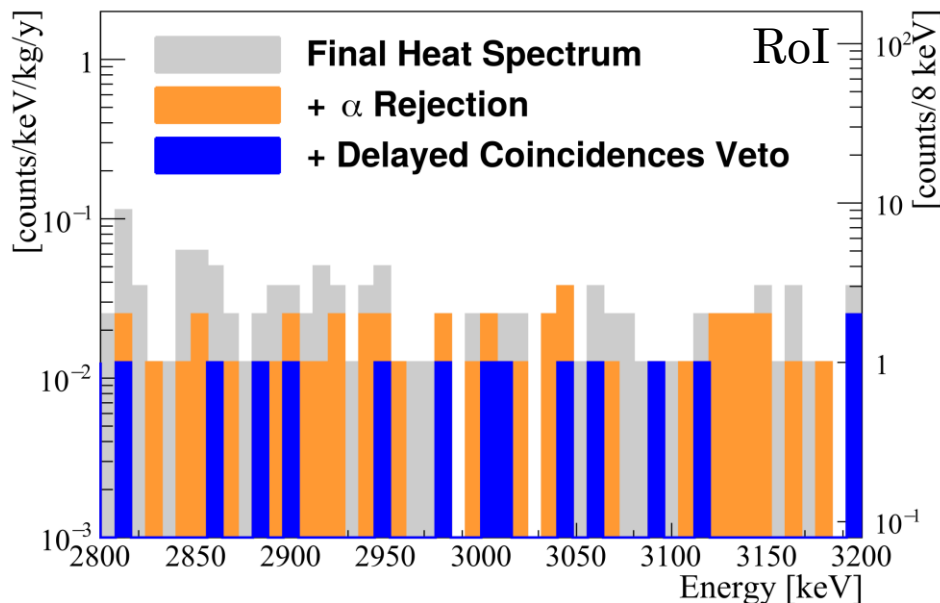
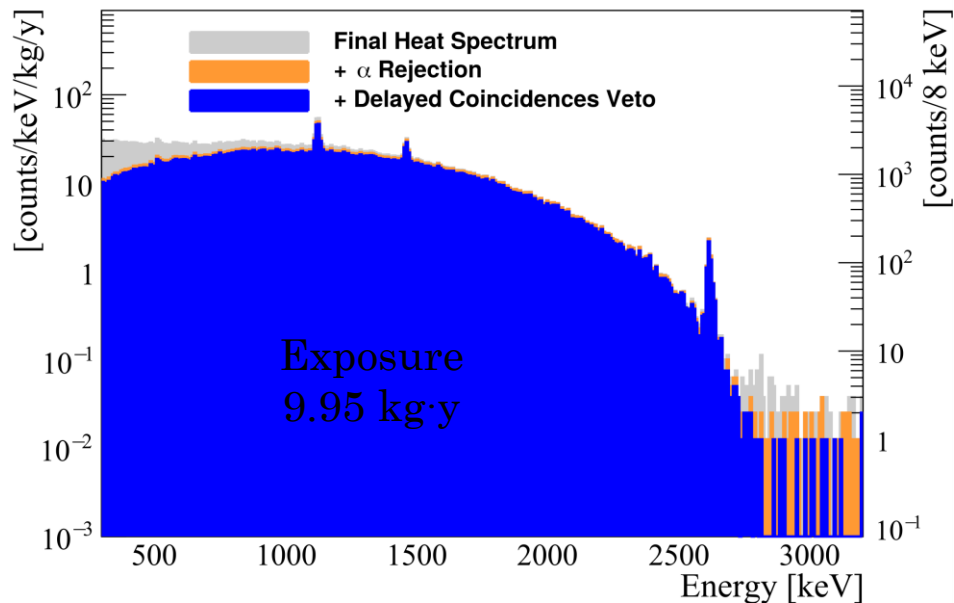
Background index in the RoI

$$(3.5_{-0.9}^{+1.0}) \cdot 10^{-3} \text{ cts}/(\text{keV} \cdot \text{kg} \cdot \text{yr})$$

Thanks to the simultaneous heat-light readout we reached **the lowest background achieved with bolometric experiments.**



# CUPID-0 Phase I: Results



No evidence of  $0\nu\text{DBD}$  signal



Best half-life limit on  $^{82}\text{Se}$   $0\nu\text{DBD}$

$$T_{1/2}^{0\nu} > 3.5 \cdot 10^{24} \text{ yr (90\% C.I.)}$$



$$m_{\beta\beta} < 311 - 638 \text{ meV}$$

range due to the nuclear  
matrix element calculations

## Background model

### Experimental data

divided according to multiplicity  
and particle type

### Background sources

identified the background sources  
exploiting their distinctive signatures

evaluated the extremely low activities of  
the (33) background sources

### Monte Carlo Simulations

Radioactive decays generated in several  
volume/surface of the CUPID-0 detector,  
cryostat and shielding

The energy deposited in ZnSe crystals is  
recorded in the Monte Carlo output

### Reproduction of measured activity



**Comprehension**

**Predictions**

## Background model

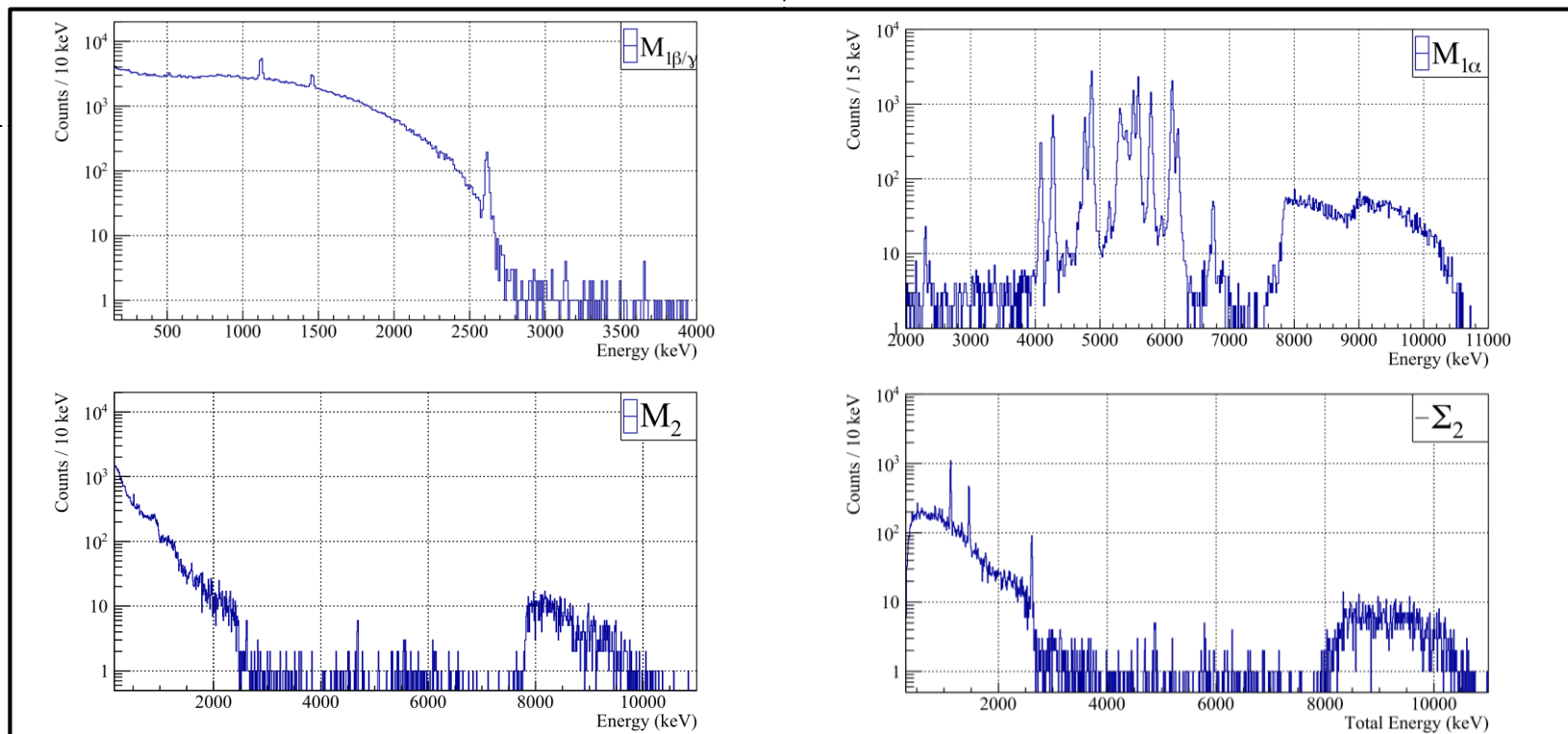
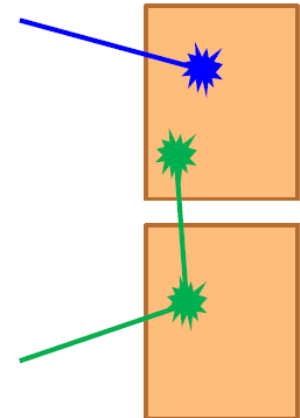
### Experimental data

divided according to multiplicity  
and particle type

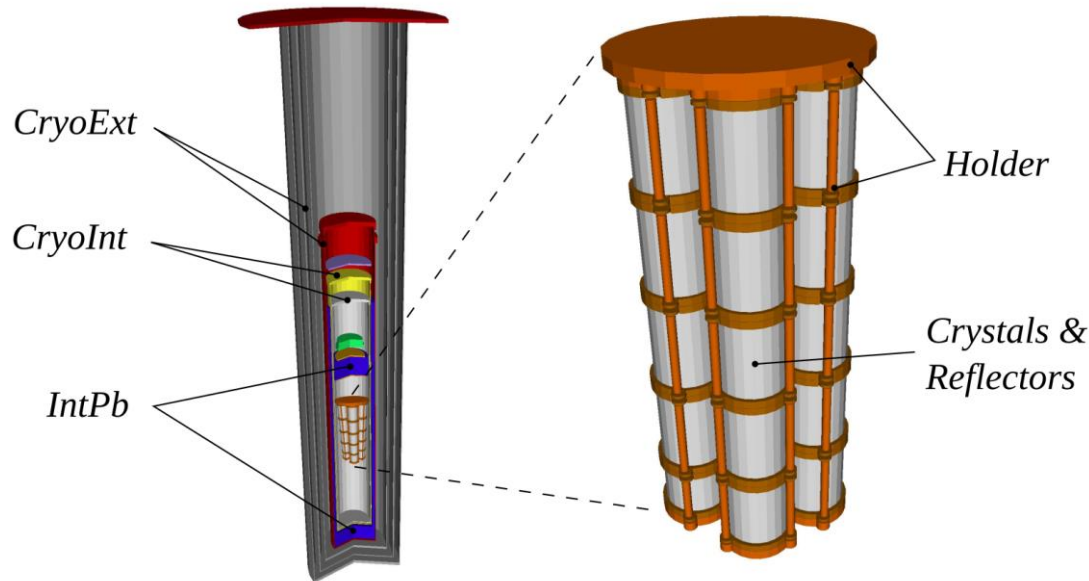
### Multiplicity

(crystals triggered in 20ms)

- $M1 \alpha - \beta/\gamma$
- $M2 / M2 \text{ sum } (\Sigma 2)$
- $M > 3$  (to constrain  $\mu$ )



## Background model



### Monte Carlo Simulations

Radioactive decays generated in several volume/surface of the CUPID-0 detector, cryostat and shielding

The energy deposited in ZnSe crystals is recorded in the Monte Carlo output

### Radiation type

- **Natural chains**  
Father + secular equilibrium breaking points
- **Single isotopes**  
 $^{40}\text{K}$ ,  $^{54}\text{Mn}$ ,  $^{65}\text{Zn}$ ,  $^{60}\text{Co}$ , ...
- **Muons**

## Background model

### Sources positions

- ZnSe crystals
- Reflective foil
- Cryostat
  - Internal shields (holder + 600 mK + 50 mK)
  - Roman lead
  - External shields (IVC + OVC)
  - External lead
- Muons

### Background sources

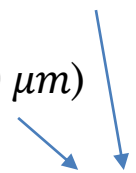
identified the background sources exploiting their distinctive signatures

evaluated the extremely low activities of the (33) background sources

### Depth of contaminations

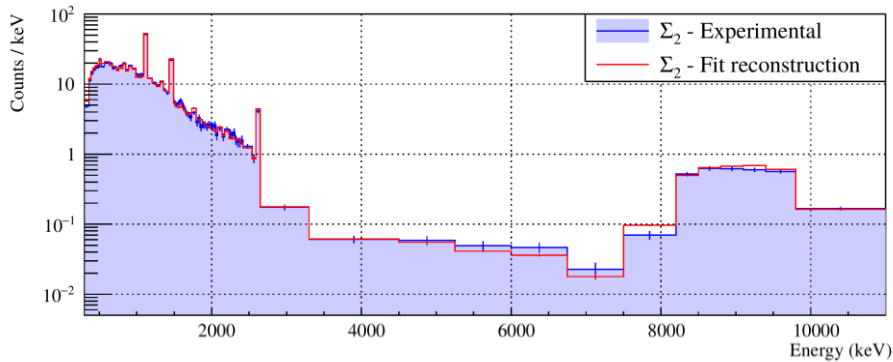
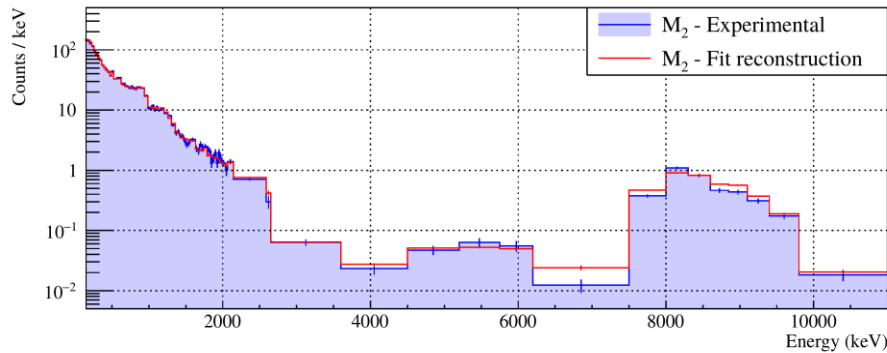
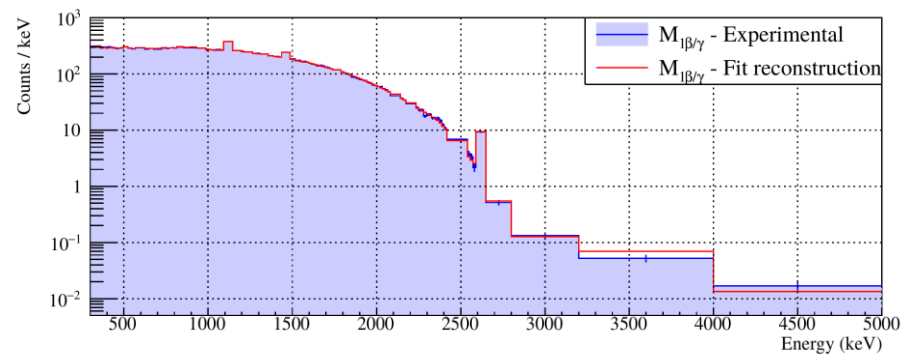
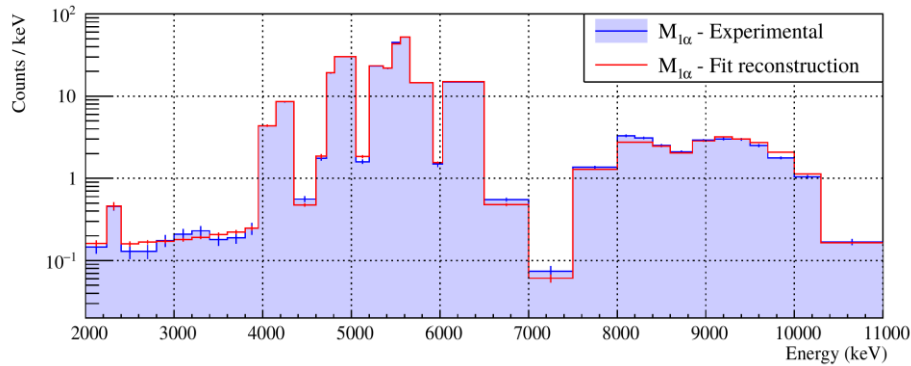
- Bulk
- Shallow surface ( $\mu = 10 \text{ nm}$ )
- Deep surface ( $\mu = 10 \mu\text{m}$ )

exponential profile





## Background model



Reproduction of  
measured activity

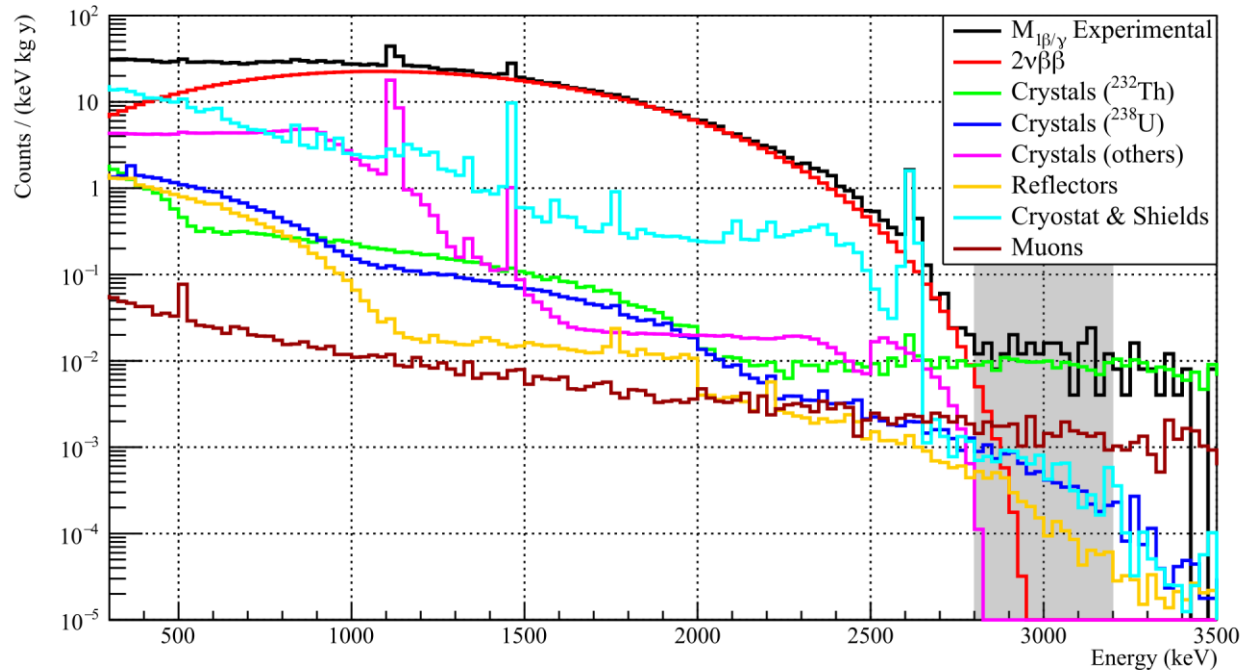


Comprehension

Predictions

## Background model

Background sources contributing to the  $M1\beta/\gamma$  reconstruction, grouped by source and component



**$2\nu\text{DBD}$  is a dominant contribution**



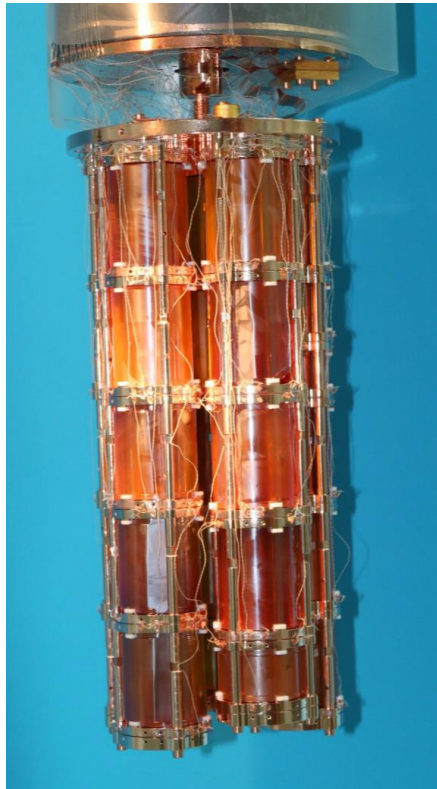
Detailed study on this decay

**In the ROI:**

- Time veto for the rejection of  $^{208}\text{Tl}$  events not applied
- **Muons give 44% of residual background**
- **Reflectors** play a primary role:
  - contaminations
  - anti-coincidences

# CUPID-0 Phase II: Upgrades

- The  $\mu$ 's are the main residual background → Installation of  $\mu$ -veto
- No reflective foil → Sensitivity to M2  $\alpha$  events
- New cleaner Cu shield → Thermalization and additional shielding



**Data taking started  
this week!!!!**



# Conclusions

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- CUPID-0 is the first large array of enriched scintillating bolometers
- CUPID-0 Phase I: June 2017 - December 2018

ZnSe exposure: **9.95 kg · y**

- Excellent background index in the region of interest
  - **lowest background** level achieved with bolometric experiments

$$(3.5_{-0.9}^{+1.0}) \cdot 10^{-3} \text{ cts}/(\text{keV} \cdot \text{kg} \cdot \text{yr})$$

- Acquired data allowed to establish the **best half-life limit** on  $^{82}\text{Se}$  0vDBD

$$T_{1/2}^{0\nu} > 3.5 \cdot 10^{24} \text{ yr (90\% C.I.)}$$

- Background model (information on background sources) and the best half-life measurement on the  $^{82}\text{Se}$  2vDBD
- CUPID-0 Phase II:
  - Goal: better understanding of background sources

**Thank you for the attention!!!**



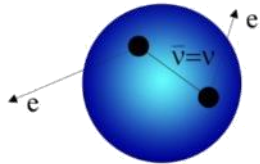
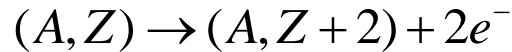


BACKUP

SLIDES

# Neutrinoless Double Beta Decay (0νDBD)

0νDBD

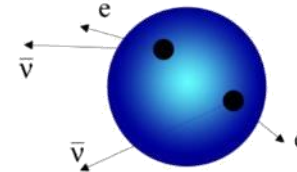
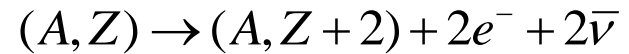


- Not allowed in Standard Model ( $\Delta L=2$ )
- The decay occurs only if neutrinos are Majorana particles
- Requires neutrino is a massive particle
- The decay rate  $T_{1/2}^{0\nu}$  depends on the “effective Majorana mass”  $m_{\beta\beta}$

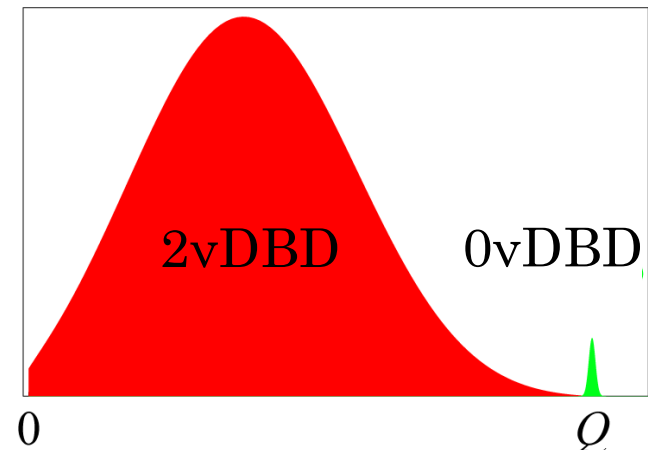
$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu} |M_{0\nu}|^2 m_{\beta\beta}^2$$

$G_{0\nu}$  - phase space factor  
 $M_{0\nu}$  - nuclear matrix element

2νDBD

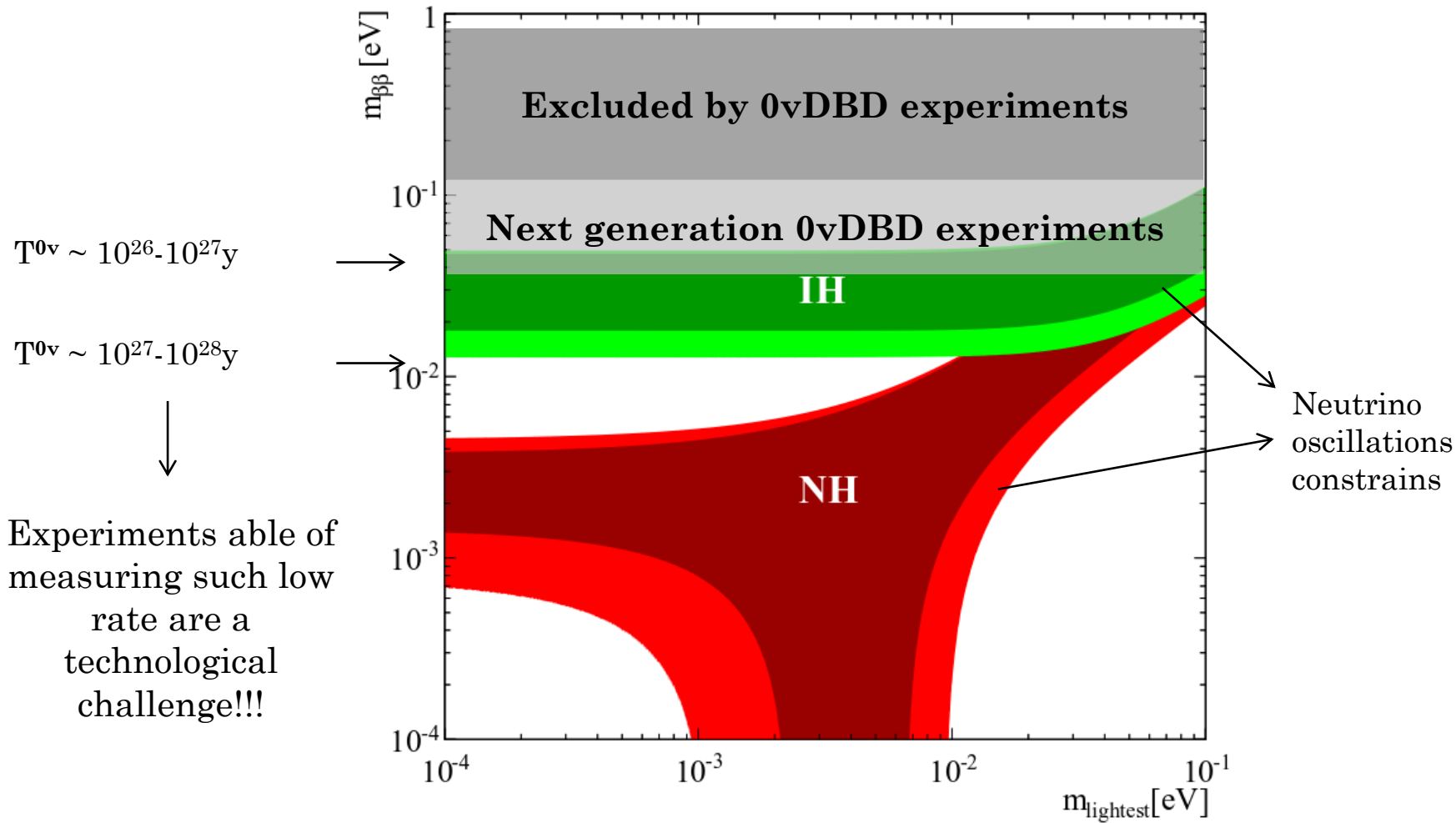


- Allowed in Standard Model
- Already observed for several nuclei (half-lives of the order  $10^{18} - 10^{21}$  y)



# Neutrinoless Double Beta Decay (0νDBD)

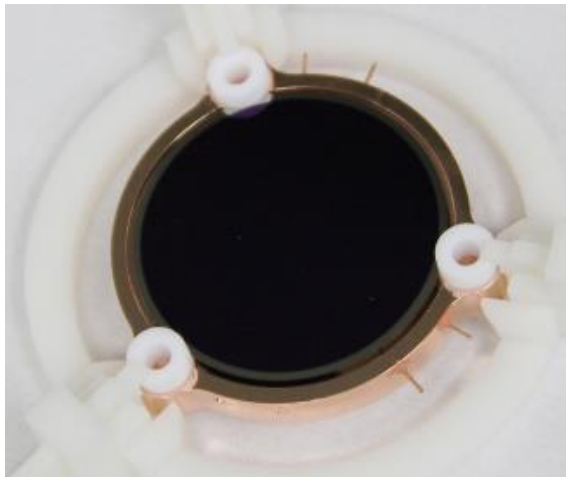
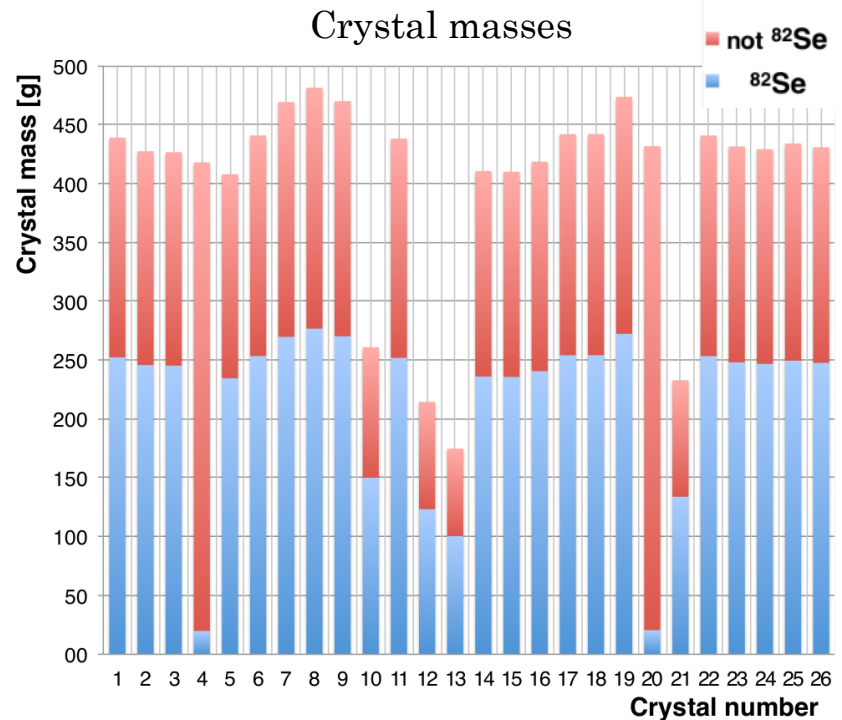
- Possible for ~35 nuclei, only ~10 really interesting
- Extremely rare process ( $T_{1/2}^{0\nu} > 10^{24} - 10^{25}$  y)



# CUPID-0 - The detector

## Zn<sup>82</sup>Se crystals

- The enriched Zn<sup>82</sup>Se crystals were produced starting from highly pure raw materials
- The crystal is grown using the Bridgman technique.
- The final crystal is then shaped and optical polished



## Ge light detectors

- Well established technology for bolometric LDs
  - Ge disk (44.5 x 0.17 mm) with NTD thermal sensor
  - SiO antireflecting coating on one side
- LD performance are crucial for background suppression
  - Light vs Heat: possible  $\alpha$  leakage in  $\beta/\gamma$  ROI
  - PSA of Light: highly efficient particle-ID

# Detector sensitivity for 0νDBD searches

**Sensitivity:** the process half-life corresponding to the maximum signal that could be observed at a given statistical C.L..

$$S = \ln 2 \cdot N_{\beta\beta}^{eff} \cdot \frac{T}{N}$$

$n$ = confidence level	$T$ = live time [y]
$N_{\beta\beta}^{eff} = N_{\beta\beta} \cdot \varepsilon$	$M$ = detector mass [kg]
$N_{\beta\beta}$ = isotope number	$B$ = background [c/keV/kg/y]
$\varepsilon$ = detector efficiency	$\Delta$ = energy resolution [keV]
$N_{\beta\beta} = \frac{N_A \cdot M \cdot x \cdot \eta}{A}$	$A$ = atomic mass
	$x$ = isotopic ab.
	$\eta$ = $N_{\beta\beta}$ per molecule

**'Zero' background experiments**

$$M \cdot T \cdot B \cdot \Delta \approx 0$$



$$n = 2.8 \text{ (68\% C.L.)}$$

$$S_{0B} = \ln 2 \cdot N_{\beta\beta}^{eff} \cdot \frac{T}{2.8} \propto M \cdot T$$

**Experiments with background**

$$M \cdot T \cdot B \cdot \Delta \gg 0$$



$$n = \sqrt{M \cdot T \cdot B \cdot \Delta} \text{ (68\% C.L.)}$$

Assumption:  $B \propto M$

$$S_B = \ln 2 \cdot N_{\beta\beta}^{eff} \cdot \sqrt{\frac{T}{M \cdot B \cdot \Delta}} \propto \sqrt{\frac{M \cdot T}{B \cdot \Delta}}$$

**Critical experimental parameters: M, T, B, Δ**

$$T_{1/2}^{0\nu} > 3.5 \cdot 10^{24} \text{ yr (90\% C.I.)}$$

$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu} |M_{0\nu}|^2 m_{\beta\beta}^2$$

$$m_{\beta\beta} < 311 - 678 \text{ meV}$$

$G_{0\nu}$  - Phase space factor

J. Kotila and F. Iachello, Phys. Rev. C 85, 034316 (2012).

S. Stoica and M. Mirea, Phys. Rev. C 88, 037303 (2013).

$M_{0\nu}$  - Nuclear matrix element

J. Engel and J. Menendez, Rept. Prog. Phys. 80, 046301 (2017)

J. M. Yao, L. S. Song, K. Hagino, P. Ring, and J. Meng, Phys. Rev. C 91, 024316 (2015)

J. Menendez, A. Poves, E. Caurier, and F. Nowacki, Nucl. Phys. A 818, 139 (2009)

F. Simkovic, V. Rodin, A. Faessler, and P. Vogel, Phys. Rev. C 87, 045501 (2013)

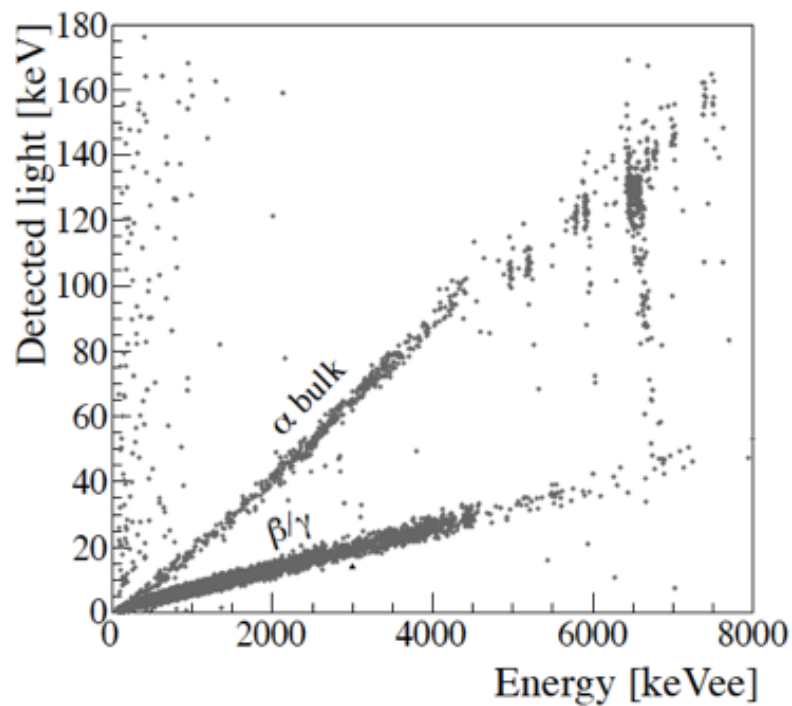
T. R. Rodriguez and G. Martinez-Pinedo, Phys. Rev. Lett. 105, 252503 (2010)

A. Meroni, S. T. Petcov, and F. Simkovic, JHEP 02, 025 (2013)

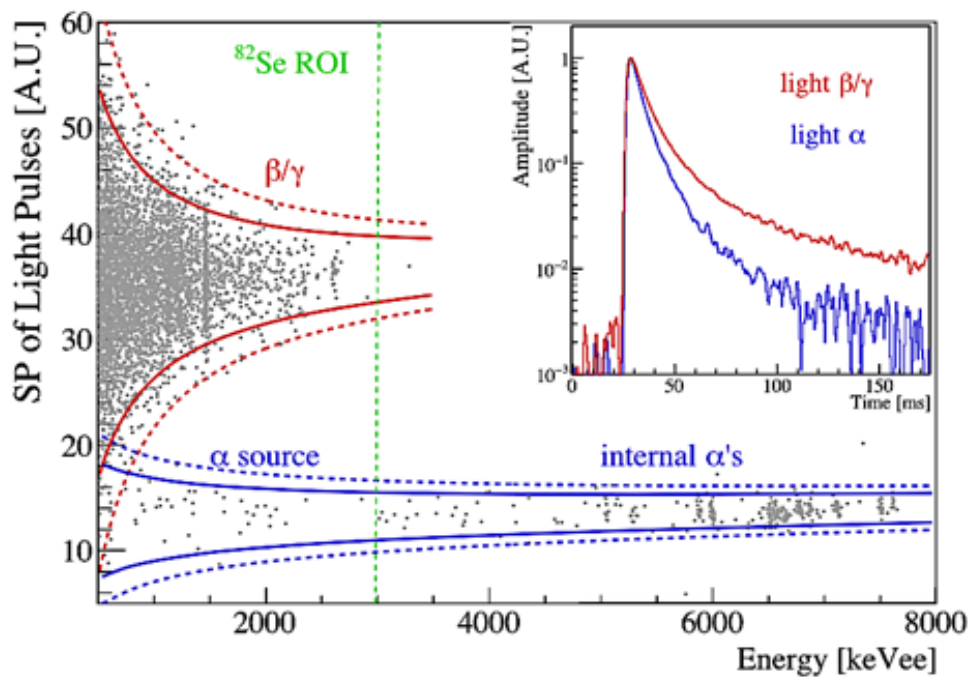
$g_a = 1.269$  – Axial coupling constant

# Particle Identification

## ZnSe Light Yield

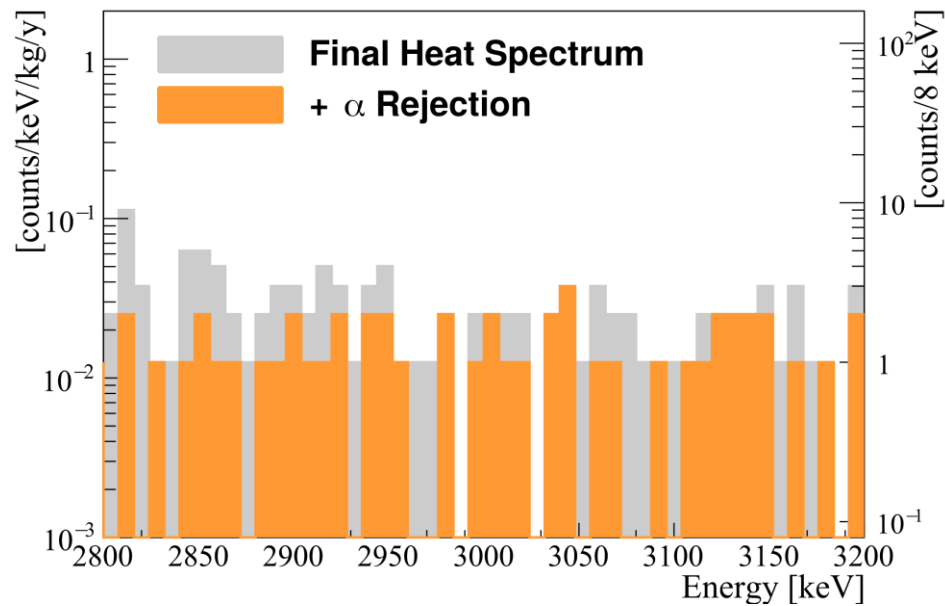


## Pulse shape

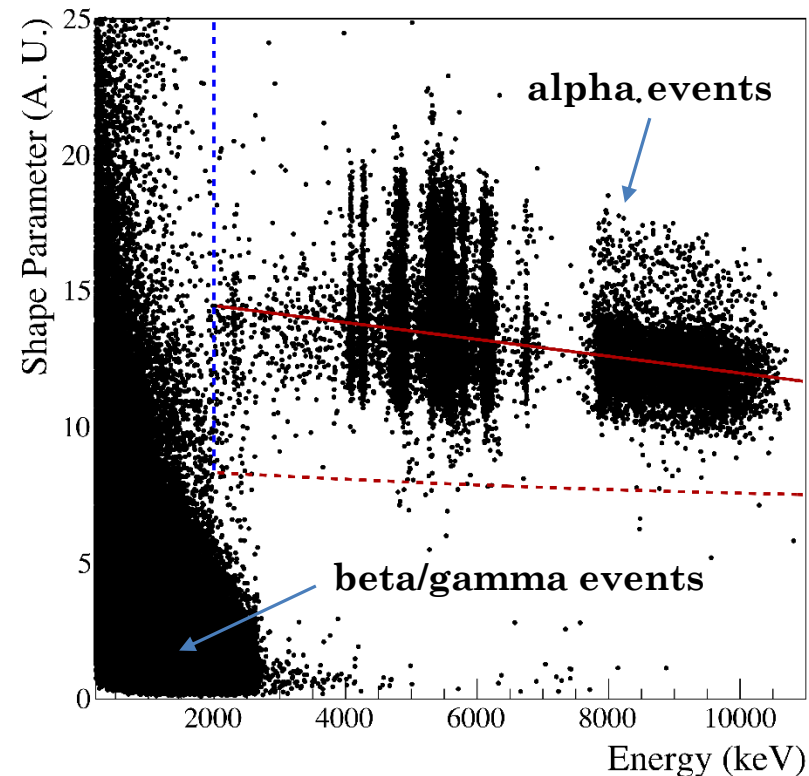




# Particle Identification

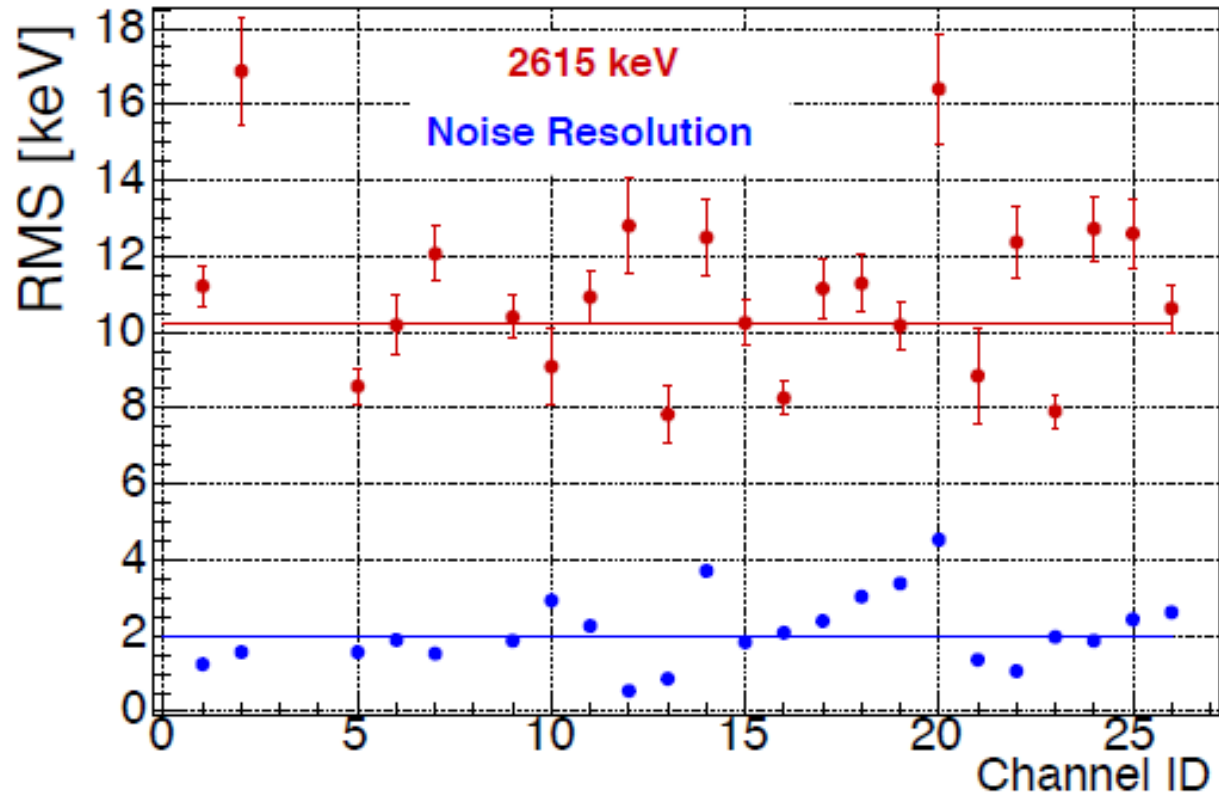


Alpha rejection with light-shape variable

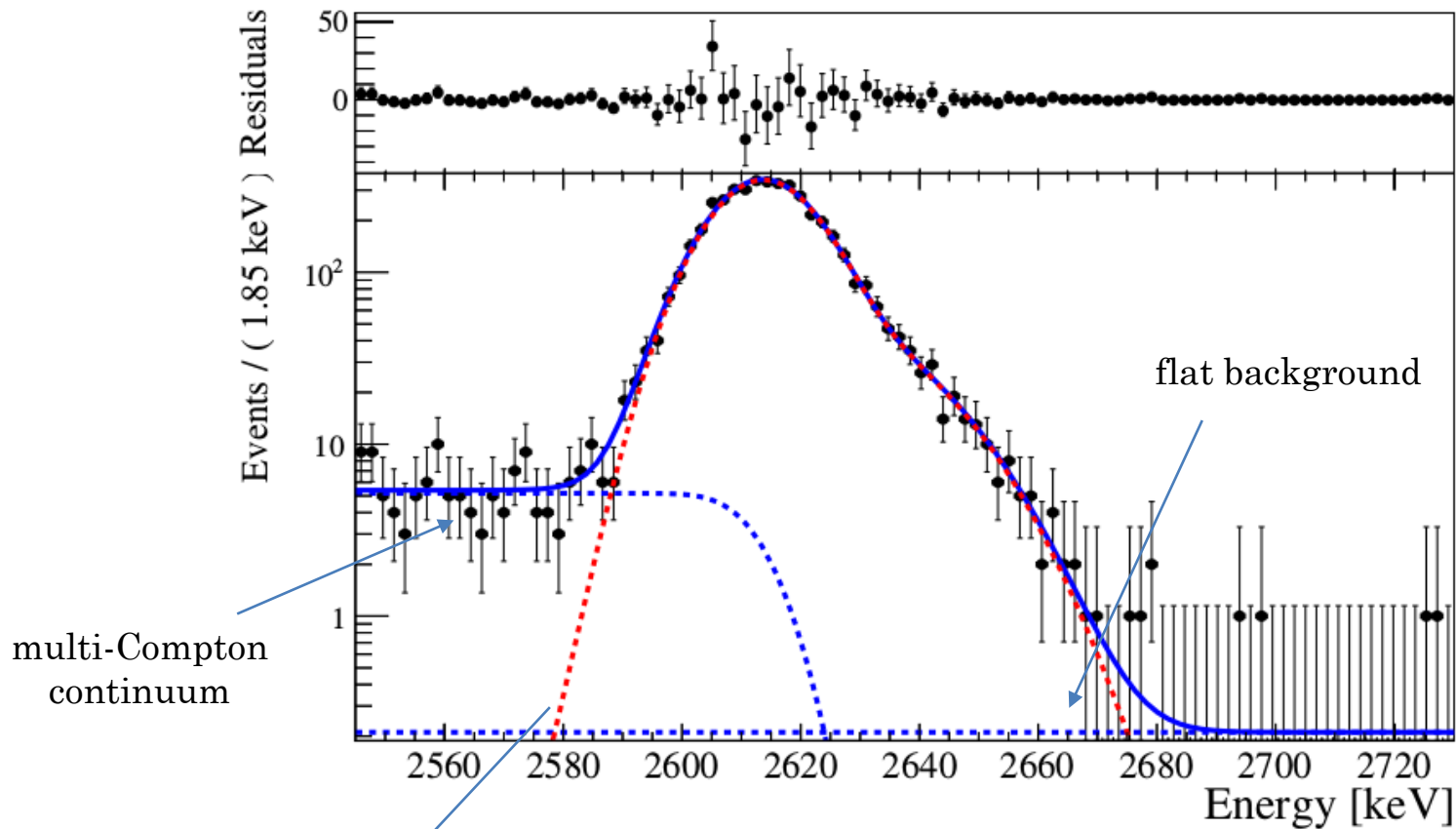


- mean value of  $\alpha$  particle SP ( $\mu_\alpha(E)$ )
- - - acceptance threshold =  $\mu_\alpha(E) - 3 \cdot \sigma_\alpha(E)$
- - - energy below which the PID is not applied

# Detector performances



# Detector response function - Energy resolution



## Double gaussian

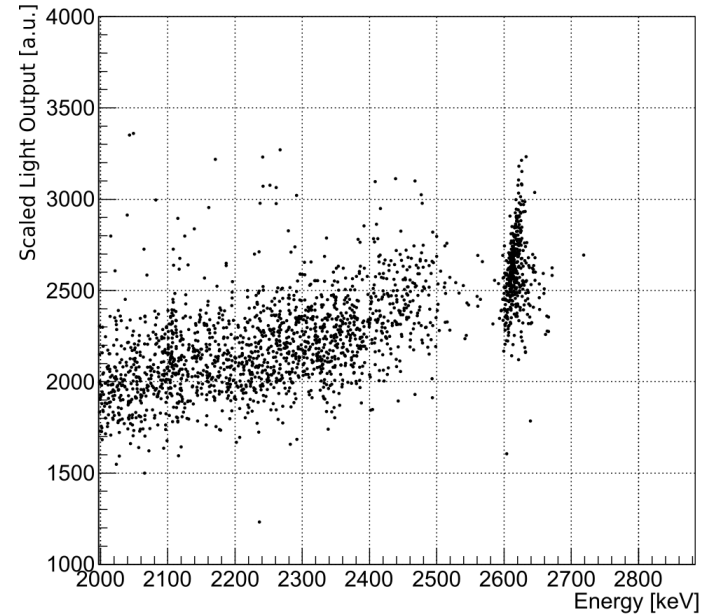
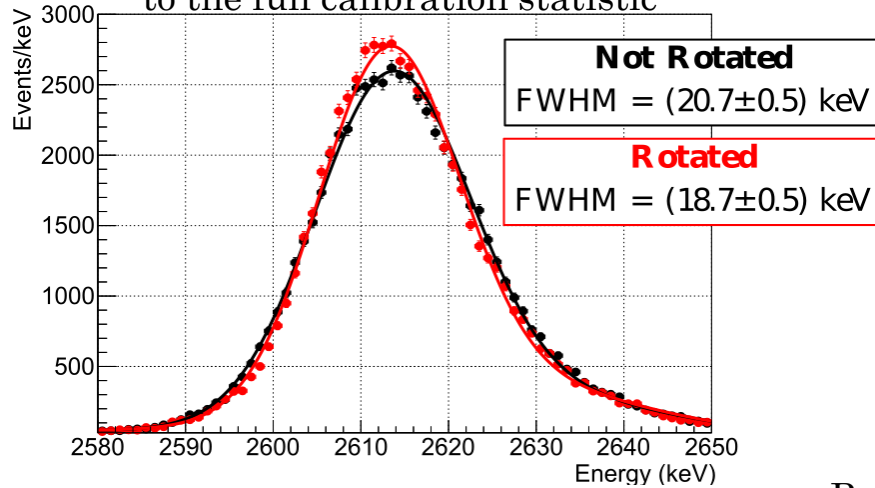
- The simplest model which well reproduces the detector response function over the entire spectrum
- Deviations from the single gaussian model already observed in other bolometric experiments

FWHM at 2615 keV  
 **$(20.7 \pm 0.5) \text{ keV}$**

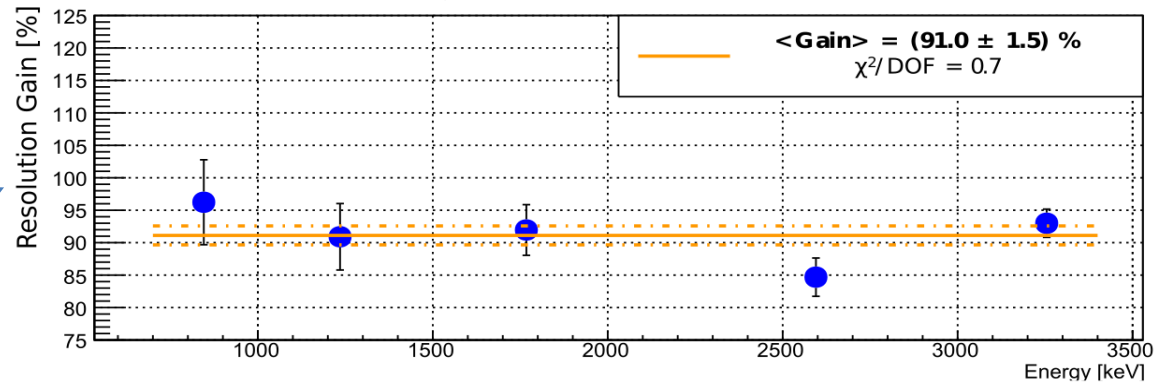
# Detector response function - Energy resolution

The heat-light **correlation** has been exploited to improve the energy resolution

Application of the rotation method to the full calibration statistic



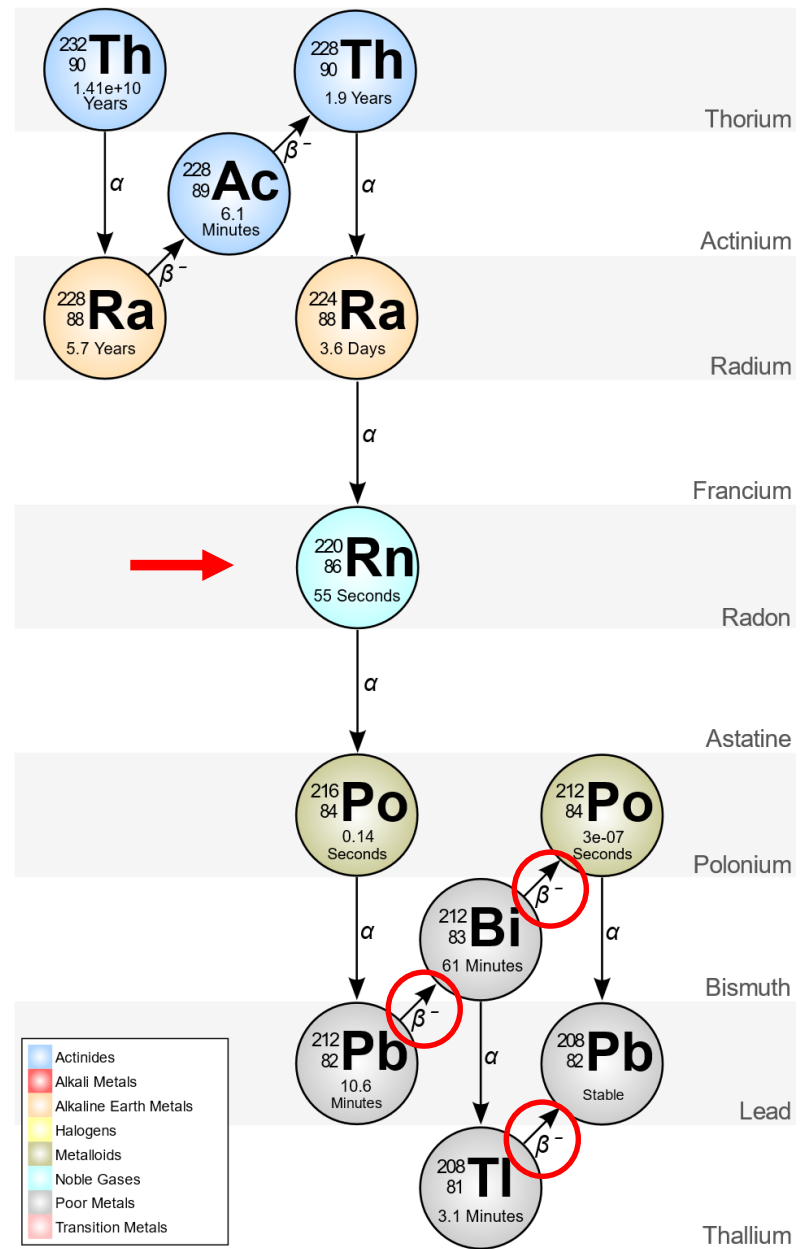
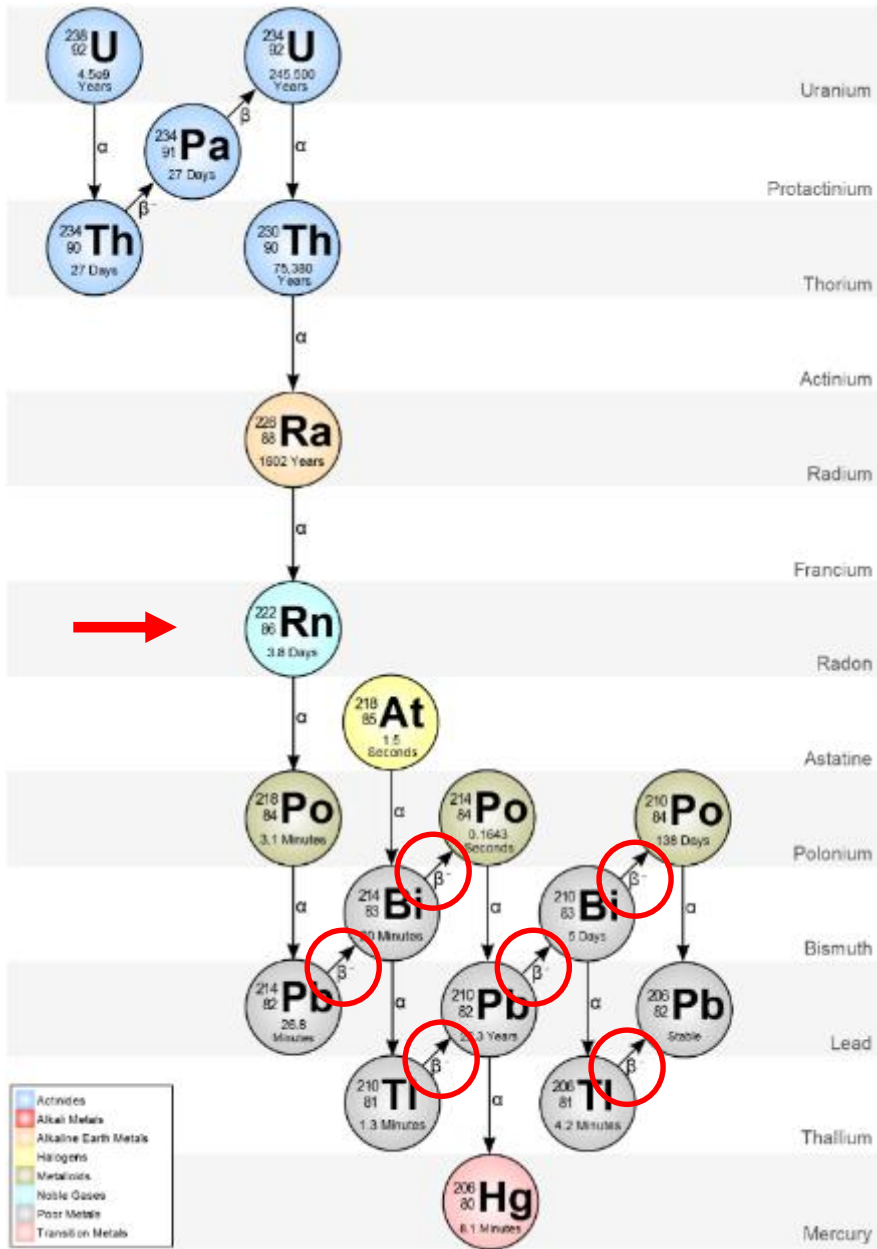
Resolution gain evaluation on  $^{56}\text{Co}$  calibration



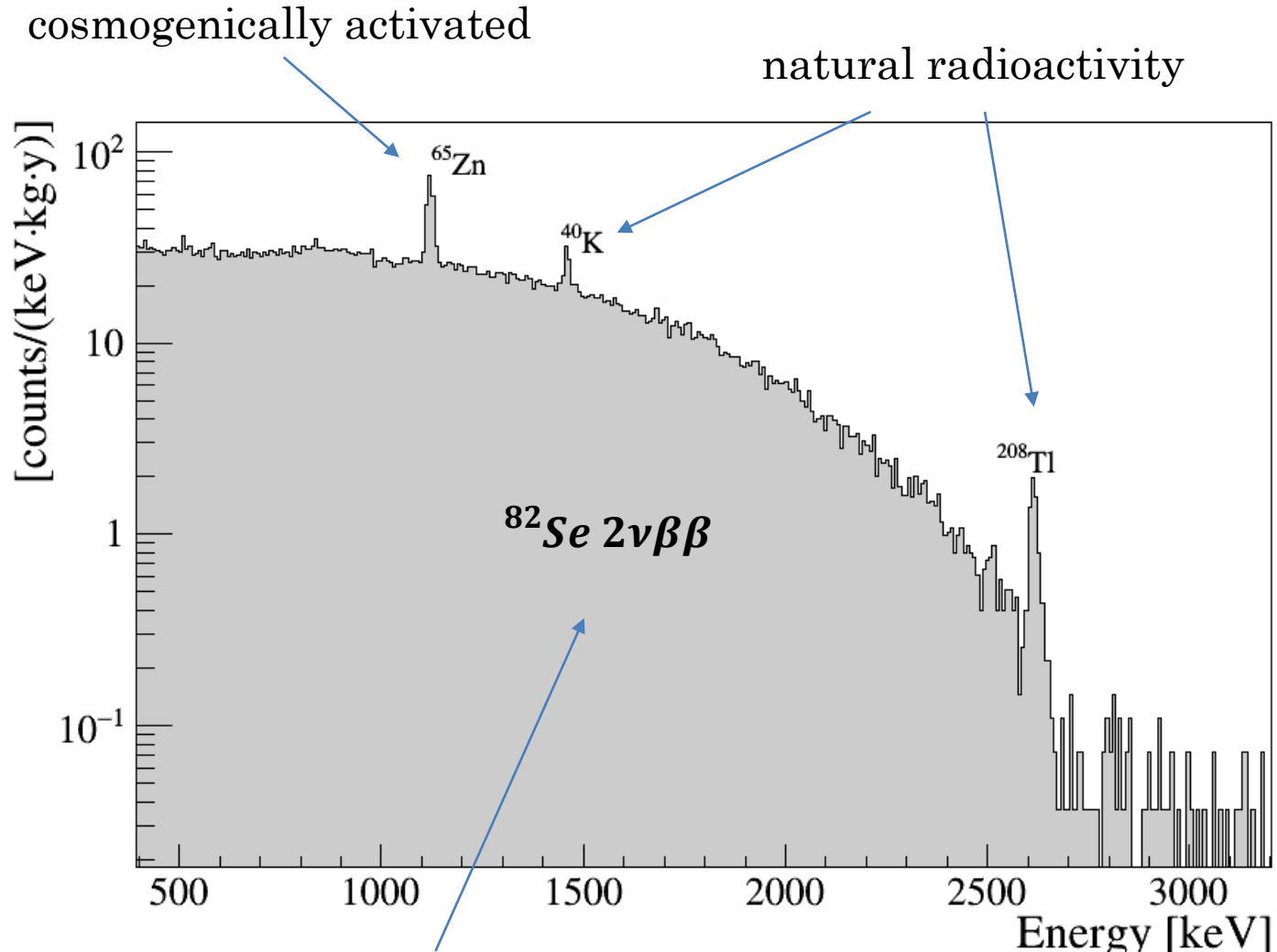
$$\frac{\text{FWHM}(\theta_{\min})}{\text{FWHM}(\theta=0)} \cdot 100$$



# Rn contaminations



# Background energy spectrum components



continuous spectrum generated by the 2νDBD decay of <sup>82</sup>Se

$$T_{1/2}^{2\nu} = (9.2 \pm 0.7) \cdot 10^{19} \text{ yr}$$

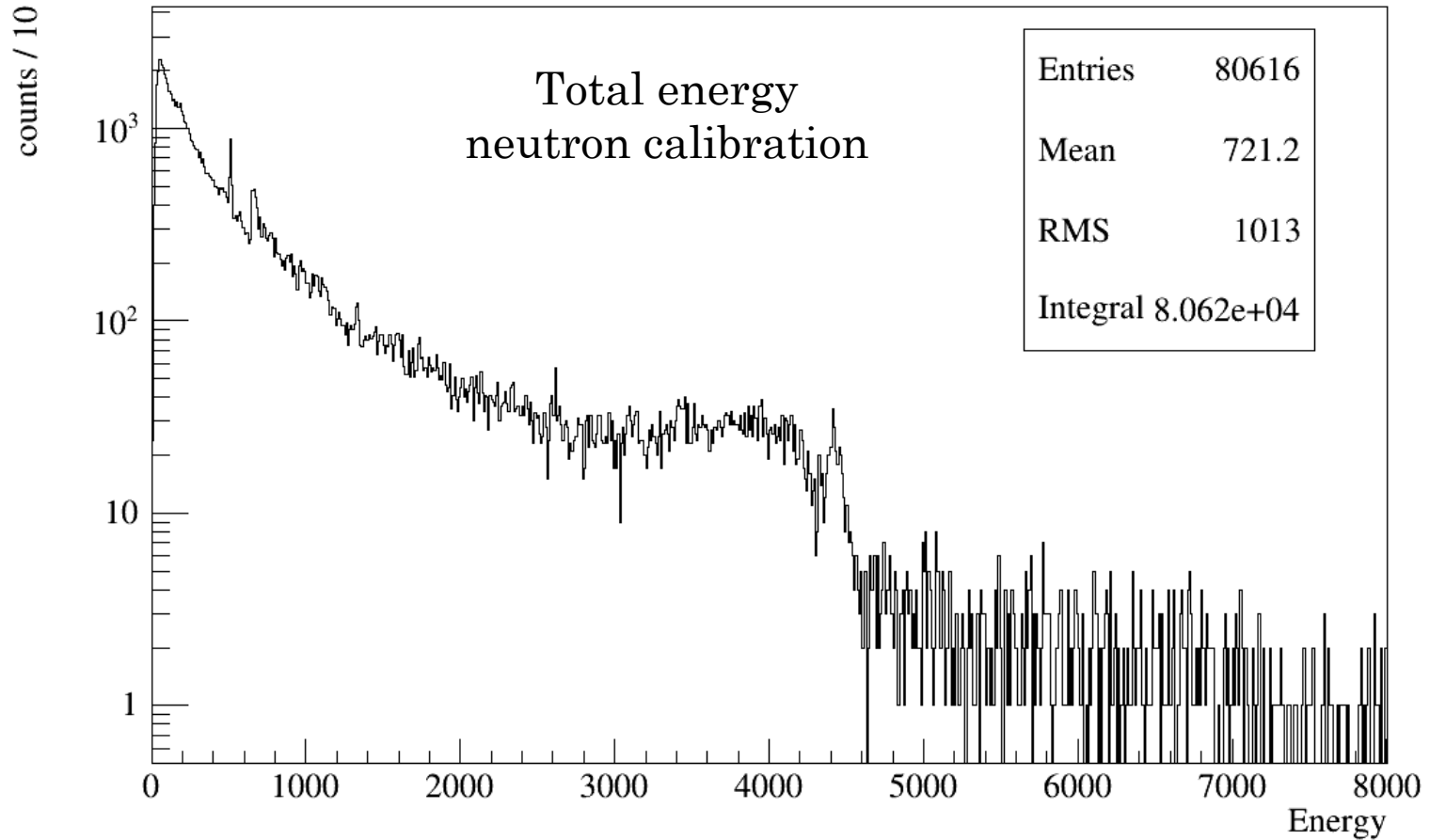
# Background sources

Component	Mass (kg)	Source	Index	Activity (Bq/kg)
<i>Crystals</i>	10.5	$2\nu\beta\beta$	1	$(9.96 \pm 0.03) \times 10^{-4}$
		$^{65}\text{Zn}$	2	$(3.52 \pm 0.06) \times 10^{-4}$
		$^{40}\text{K}$	3	$(8.5 \pm 0.4) \times 10^{-5}$
		$^{60}\text{Co}$	4	$(1.4 \pm 0.3) \times 10^{-5}$
		$^{147}\text{Sm}$	5	$(1.6 \pm 0.3) \times 10^{-7}$
		$^{238}\text{U}$ - $^{226}\text{Ra}$	6	$(5.51 \pm 0.10) \times 10^{-6}$
		$^{226}\text{Ra}$ - $^{210}\text{Pb}$	7	$(1.54 \pm 0.02) \times 10^{-5}$
		$^{210}\text{Pb}$ - $^{206}\text{Pb}$	8	$(7.05 \pm 0.16) \times 10^{-6}$
		$^{232}\text{Th}$ - $^{228}\text{Ra}$	9	$(2.74 \pm 0.10) \times 10^{-6}$
		$^{228}\text{Ra}$ - $^{208}\text{Pb}$	10	$(1.20 \pm 0.03) \times 10^{-5}$
		$^{235}\text{U}$ - $^{231}\text{Pa}$	11	$(5.3 \pm 0.7) \times 10^{-7}$
		$^{231}\text{Pa}$ - $^{207}\text{Pb}$	12	$(7.8 \pm 0.4) \times 10^{-7}$
<i>Holder</i>	3.10	$^{54}\text{Mn}$	13	$(2.2 \pm 0.3) \times 10^{-4}$
<i>CryoInt</i> <sup>(a)</sup>	36.9	$^{232}\text{Th}$	14	$< 4.5 \times 10^{-5}$
		$^{238}\text{U}$	15	$(7 \pm 3) \times 10^{-5}$
		$^{40}\text{K}$	16	$(3.0 \pm 0.6) \times 10^{-3}$
		$^{60}\text{Co}$	17	$(6.8 \pm 1.3) \times 10^{-5}$
<i>IntPb</i>	202	$^{232}\text{Th}$	18	$< 6.3 \times 10^{-5}$
		$^{238}\text{U}$	19	$< 7.3 \times 10^{-5}$
<i>CryoExt</i>	832	$^{60}\text{Co}$	20	$(2.6 \pm 0.9) \times 10^{-5}$
<i>ExtPb</i> <sup>(b)</sup>	24694	$^{232}\text{Th}$	21	$(4.3 \pm 0.6) \times 10^{-4}$
		$^{238}\text{U}$	22	$(2.5 \pm 1.2) \times 10^{-4}$
		$^{40}\text{K}$	23	$(2.8 \pm 0.8) \times 10^{-3}$
		$^{210}\text{Pb}$	24	$7.8 \pm 0.3$
Component	Surface (cm <sup>2</sup> )	Source	Index	Activity (Bq/cm <sup>2</sup> )
<i>Crystals</i>	2574	$^{226}\text{Ra}$ - $^{210}\text{Pb}$ - $0.01\mu\text{m}$	25	$(2.63 \pm 0.15) \times 10^{-8}$
		$^{228}\text{Ra}$ - $^{208}\text{Pb}$ - $0.01\mu\text{m}$	26	$(6.5 \pm 1.1) \times 10^{-9}$
		$^{226}\text{Ra}$ - $^{210}\text{Pb}$ - $10\mu\text{m}$	27	$< 2.3 \times 10^{-9}$
		$^{228}\text{Ra}$ - $^{208}\text{Pb}$ - $10\mu\text{m}$	28	$(4.2 \pm 1.6) \times 10^{-9}$
<i>Reflectors</i> <sup>(c)</sup>	2100	$^{232}\text{Th}$ - $10\mu\text{m}$	29	$< 7.3 \times 10^{-10}$
		$^{226}\text{Ra}$ - $^{210}\text{Pb}$ - $10\mu\text{m}$	30	$(8.7 \pm 1.3) \times 10^{-9}$
		$^{210}\text{Pb}$ - $^{206}\text{Pb}$ - $10\mu\text{m}$	31	$(1.0 \pm 0.5) \times 10^{-8}$
		$^{210}\text{Pb}$ - $^{206}\text{Pb}$ - $0.01\mu\text{m}$	32	$(1.43 \pm 0.02) \times 10^{-7}$
Muons	Flux in units of $\mu/(\text{cm}^2\text{s})$		33	$(3.7 \pm 0.2) \times 10^{-8}$



# Neutrons calibration

We used neutrons in order to have gamma events also in the 3-4 MeV energy region



# Crystals radiopurity

Table 1: Internal radioactive contamination for 2.5 kg of 96.3% enriched  $^{82}\text{Se}$  metal beads and for 2.5 kg of  $^{nat}\text{Zn}$ . Limits are computed at 90% C.L.. The measurements were carried out on October 2014.

Chain	Nuclide	$^{82}\text{Se}$ Activity [ $\mu\text{Bq/kg}$ ]	$^{nat}\text{Zn}$ Activity [ $\mu\text{Bq/kg}$ ]
$^{232}\text{Th}$	$^{228}\text{Ra}$	< 61	< 95
	$^{228}\text{Th}$	< 110	< 36
	$^{238}\text{U}$		
$^{238}\text{U}$	$^{226}\text{Ra}$	< 110	< 66
	$^{234}\text{Th}$	< 6200	< 6200
	$^{234m}\text{Pa}$	< 3400	< 4700
$^{235}\text{U}$	$^{235}\text{U}$	< 74	< 91
	$^{40}\text{K}$	< 990	< 380
	$^{60}\text{Co}$	< 65	< 36
	$^{56}\text{Co}$	–	$80\pm 20$
	$^{65}\text{Zn}$	–	$5200\pm 600$